

# Lecture 1 : Basic Riemannian Geometry (07/14/2021)

## 1.0. Conventions

- $\mathcal{U} \subseteq \mathbb{R}^n$  domain: Open, Connected
- All the interested curves are piecewise  $C^k$ ,  $k \geq 1$
- All the metrics are default  $C^\infty$
- Einstein:  $a^i b_i = \sum_{i=1}^n a^i b_i$

## 1.1. Riemannian Structure and Geodesics

- Let  $X = (x_1, \dots, x_n)$ ,  $Y = (y_1, \dots, y_n)$  in  $\mathbb{R}^n$ .

Euclidean Distance

$$d_o(X, Y) \equiv \|X - Y\| = \left( \sum_{j=1}^n (x_j - y_j)^2 \right)^{\frac{1}{2}}$$



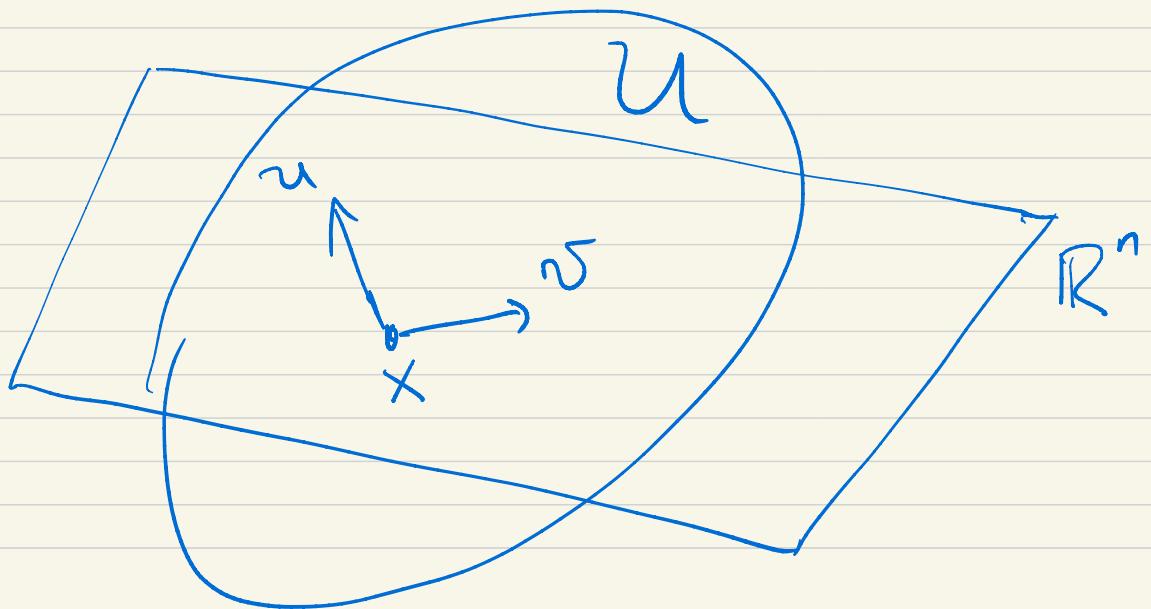
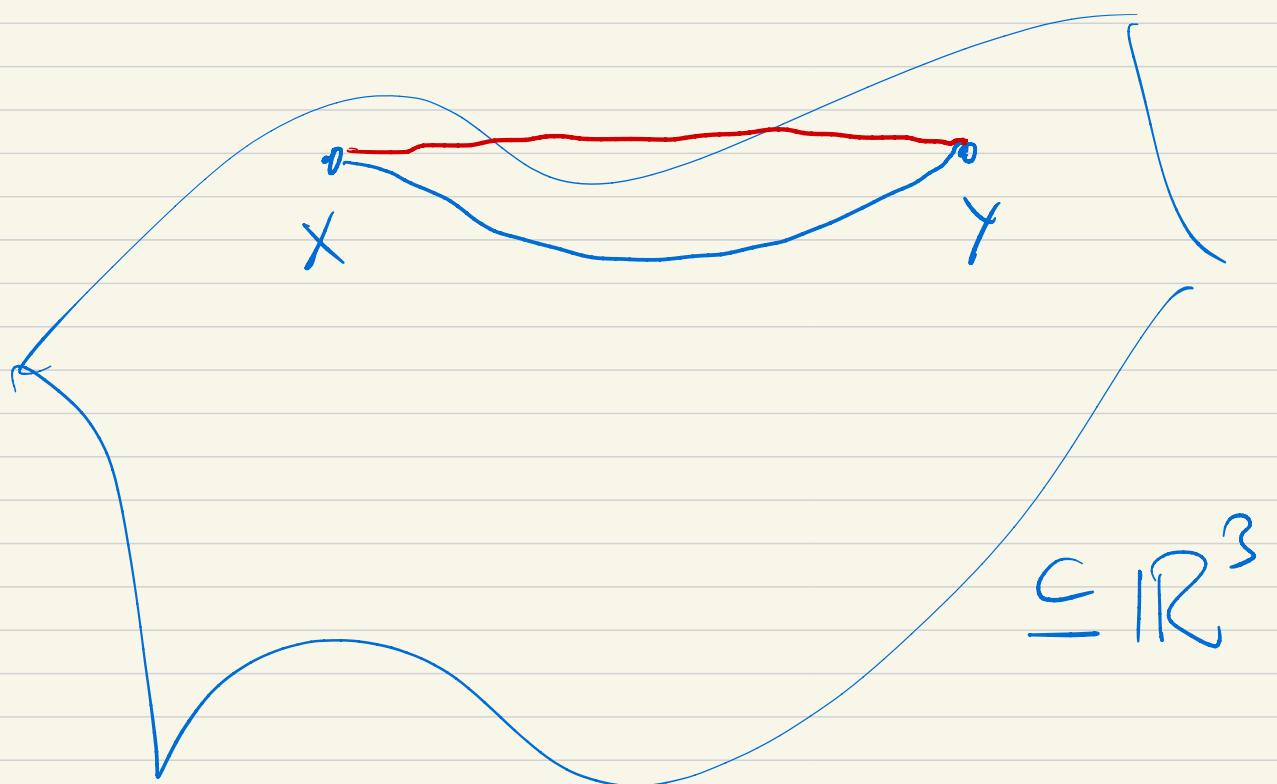
Euclidean length

Let  $\gamma: [a, b] \rightarrow \mathbb{R}^n$  be a continuous curve.

$$\text{Then } L_o(\gamma) \equiv \sup_P \sum_{j=1}^k d_o(\gamma(t_{j-1}), \gamma(t_j)),$$

where  $P = \{a < t_1 < t_2 < \dots < t_n = b\}$  partition.

- We say  $\gamma$  is rectifiable if  $L_o(\gamma) < \infty$ .
- If  $\gamma: [a, b] \rightarrow \mathbb{R}^n$  is  $C^1$ , then  $\gamma$  is rectifiable and  $L_o(\gamma) = \int_a^b \|\gamma'(t)\| dt$



## Riemannian Structure

A Riemannian  $C^k$ -Structure

$g: U \rightarrow (\mathbb{R}^n)^* \otimes (\mathbb{R}^n)^*$  is a  $C^k$  map

that assigns each  $x \in U$  to a nonnegative symmetric bilinear function, i.e.,  $\forall x \in U$ ,

$\exists$  nonnegative symmetric  $n \times n$  matrix  
 $G_x$  s.t.

$$g_x(u, v) = \underbrace{\langle u, \underbrace{G_x v}_m \rangle}_{\text{Euclidean inner product}}, \quad \forall u, v \in \mathbb{R}^n$$

- Arc length in terms of  $g$ :

Let  $\gamma: [a, b] \rightarrow \mathbb{R}^n$  be a  $C^1$ -curve.

Then

$$L_g(\gamma) = \int_a^b g_{\gamma(t)}(\gamma'(t), \gamma'(t))^{\frac{1}{2}} dt$$

- Intrinsic Distance

Let  $U \subseteq \mathbb{R}^n$  be a domain with a Riemannian structure  $g$ . Then

$$d_g(x, y) = \inf \left\{ L_g(\gamma) \mid \begin{array}{l} \gamma \text{ is a piecewise } C^1\text{-curve} \\ \text{connecting } x \text{ and } y \end{array} \right\}$$

- Metric space A pair  $(X, d)$  :

$$(1) \quad d(p, q) \geq 0 \quad \forall p, q \in X$$

"=" holds iff  $p = q$

$$(2) \quad d(p, q) = d(q, p), \quad \forall p, q \in X.$$

$$(3) \quad d(p, q) \leq d(p, r) + d(r, q), \quad \forall p, q, r \in X.$$

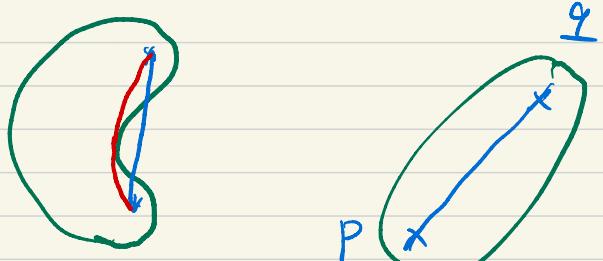
- Intrinsic Distance and Length Space

Let  $(X, d)$  be a metric space. Then we define the intrinsic distance  $d^\#$  by

$$d^\#(x, y) = \inf \left\{ L(\gamma) \mid \underbrace{\gamma: [0, 1] \rightarrow X}_{\text{Curve connecting } x \text{ and } y} \right\}$$

$(X, d)$  is called a length space if

$$d = d^\#.$$



- Examples :

\*  $(\mathbb{R}^n, d_0)$  is a length space.

\*  $(U, d_0)$  is a length space if  $U$  is convex.



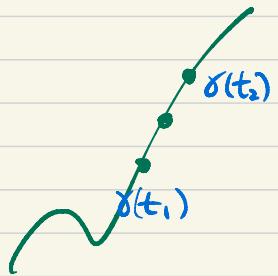
## Geodesic

Let  $(X, d)$  be a metric space and let  $\tilde{U} \subseteq X$  be a domain.

A curve  $\gamma: [a, b] \rightarrow \tilde{U}$  is said to be a geodesic if  $\forall t_1, t_2 \in [a, b]$ ,

$$d(\gamma(t_1), \gamma(t_2)) = |t_1 - t_2|.$$

$\forall t_1, t_2$  Sufficiently closed to  $t$

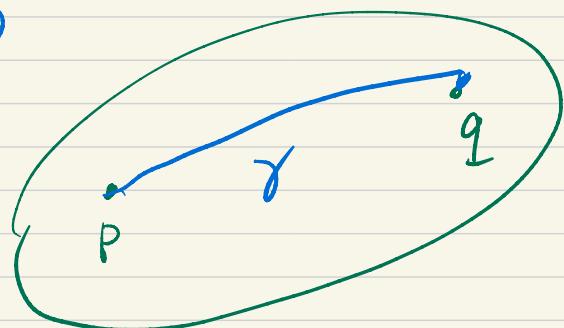


A geodesic is minimal if  $d(\gamma(a), \gamma(b)) = L(\gamma)$

Note: any geodesic is locally minimal.

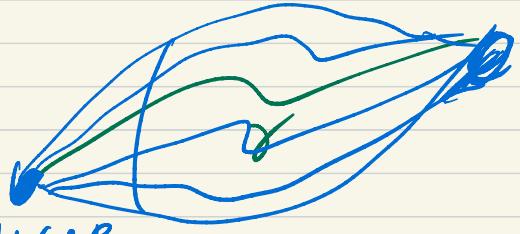
Theorem. Let  $U \subseteq \mathbb{R}^n$  be a domain equipped with a Riemannian structure  $g$  s.t.  $(U, dg)$  is a complete metric space. Then  $\forall p, q \in U$ ,  $\exists$  a minimal geodesic connecting  $p$  and  $q$ .

$$L_g(\gamma) = d_g(p, q)$$



## 1.2 Exponential map, Connection, variation of arc length

- Variation of a curve.



Let  $\gamma: [a,b] \rightarrow U$  be a curve.

A one-parameter variation of  $\gamma$  is a map

$$V: [a,b] \times \underline{(-1,1)} \rightarrow U \text{ s.t. } V(t,0) = \gamma(t)$$

$$\forall t \in [a,b].$$

We denote  $\gamma_s(\cdot) = V(\cdot, s)$ ,  $\delta_t(\cdot) = V(t, \cdot)$

$$L_s \equiv L_g(\gamma_s), \underline{X(t) = \frac{\partial}{\partial s} V(t,s)}|_{s=0}$$

Lemma. Let  $\gamma_s: [0,1] \rightarrow U$  be parametrized by proportional to its arc length with  $l = L_g(\gamma)$ . Then the following holds,

$$\boxed{\frac{d}{ds} \Big|_{s=0} L_s = \int_0^1 g_{\gamma(t)} (\nabla_{\gamma'(t)} X(t), \gamma'(t)) dt,}$$

where  $\nabla_{\gamma'(t)} X(t) = \frac{d}{dt} X(t) + \Gamma_{\gamma(t)}(X(t), \gamma'(t))$ ,

$$\Gamma_x(u, v) = \frac{1}{2} G_x^{-1} \underline{DG_x(u)v}.$$

Pf.

$$\frac{d}{ds} L_g(\gamma_s) = \frac{d}{ds} \int_0^1 g_{\gamma_s(t)} (\gamma'_s(t), \gamma'_s(t))^{\frac{1}{2}} dt$$

$$= \int_0^1 \frac{\partial}{\partial s} g_{\gamma_s(t)} (\gamma'_s(t), \gamma'_s(t))^\frac{1}{2} dt$$

$$= \int_0^1 \frac{\partial}{\partial s} \langle \gamma'_s(t), G_{\gamma_s(t)} \gamma'_s(t) \rangle^\frac{1}{2} dt$$

$$= \int_0^1 \frac{1}{2} \left[ \frac{\partial}{\partial s} g_{\gamma_s(t)} (\gamma'_s(t), \gamma'_s(t))^{-\frac{1}{2}} \right] \frac{\partial}{\partial s} \langle \gamma'_s(t), G_{\gamma_s(t)} \gamma'_s(t) \rangle dt$$

$$= \frac{1}{2 \|\gamma'_s\|_g} \int_0^1 \left( \underbrace{\left\langle \frac{\partial}{\partial s} \frac{\partial V}{\partial t}, G_{\gamma_s(t)} \cdot \frac{\partial V}{\partial t} \right\rangle}_{dt} + \left\langle \frac{\partial V}{\partial t}, \frac{\partial}{\partial s} \left( G_{\gamma_s(t)} \frac{\partial V}{\partial t} \right) \right\rangle_{dt} \right)$$

$$= \frac{1}{2 \|\gamma'_s\|_g} \int_0^1 \left( \underbrace{\left\langle \frac{\partial}{\partial t} \frac{\partial V}{\partial s}, G_{\gamma_s} \cdot \frac{\partial V}{\partial t} \right\rangle}_{\gamma' \frac{dx}{dt}} + \underbrace{\left\langle \frac{\partial V}{\partial t}, D G \left( \frac{\partial V}{\partial s} \right) \frac{\partial V}{\partial t} \right\rangle}_{\frac{dX}{dt}} \right. \\ \left. + \left\langle \frac{\partial V}{\partial t}, G_{\gamma_s} \cdot \frac{\partial}{\partial s} \frac{\partial V}{\partial t} \right\rangle \right)$$

Letting  $s=0$ ,

$$= \frac{1}{2} \int_0^1 \left( 2 \langle \gamma', G \cdot \frac{dx}{dt} \rangle + \langle \gamma', G \cdot (G^{-1} D G(X) \gamma') \rangle \right) dt$$

$$= \frac{1}{2} \int_0^1 \langle \gamma', \nabla_{\gamma'} X \rangle dt$$

## Covariant Derivative

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For any smooth map  $\Gamma$  that assigns every  $x \in U$  to a bilinear map  $\Gamma_x: \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$ , the corresponding covariant derivative  $X$  along  $\gamma$  is defined by

$$\nabla_{\gamma'(t)} X(t) = \frac{d}{dt} X(t) + \Gamma_{\gamma(t)}(\gamma'(t), X(t)).$$

### Basic properties:

(1) ( $\mathbb{R}$ -linearity)

$$\nabla_{\gamma'} (\alpha X + \beta Y) = \alpha \nabla_{\gamma'} X + \beta \nabla_{\gamma'} Y$$

(2) (Leibniz)

$$\nabla_{\gamma'} (f X) = f' (t) \cdot X + f \nabla_{\gamma'} X$$

(3) ( $\gamma$ -parallel)

$$\frac{d}{dt} g_{\gamma(t)}(X, Y) = \underbrace{g_{\gamma}(\nabla_{\gamma} X, Y)}_{\uparrow} + g_{\gamma}(X, \nabla_{\gamma} Y)$$

(4) ( $C^\infty$ -linear)

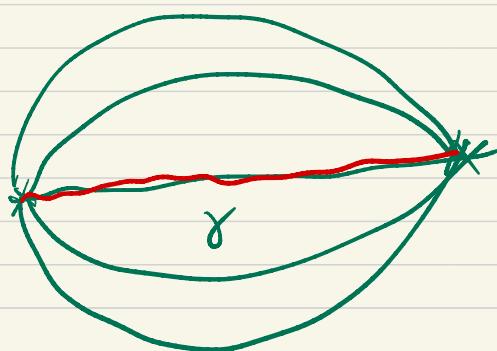
$$\nabla_{f \cdot \gamma'(t)} Y = f \nabla_{\gamma(t)} Y,$$

if  $f \in C^\infty(U)$ ,

Check them!

$$\left. \frac{d}{ds} \right|_{s=0} L_s = \int_0^l \left\langle \nabla_{\gamma'(t)} X(t), \gamma'(t) \right\rangle dt$$

$$= \int_0^l \left( \frac{d}{dt} \left\langle X(t), \gamma'(t) \right\rangle - \underbrace{\left\langle X(t), \nabla_{\gamma'(t)} \gamma'(t) \right\rangle} \right) dt$$



Corollary The first variation can be written as

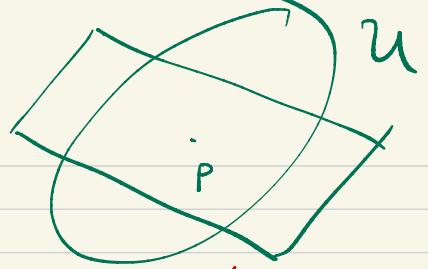
$$\frac{d}{ds} \Big|_{s=0} L_s = \underbrace{\langle X(l), \gamma'(l) \rangle - \langle X(0), \gamma'(0) \rangle}_{-\int_0^l \langle X(t), \nabla_{\gamma'(t)} \gamma'(t) \rangle dt}.$$

In particular, if  $V(0, s) = \gamma(0)$ ,  $V(l, s) = \gamma(l)$ , then

$$\frac{d}{ds} \Big|_{s=0} L_s = - \int_0^l \langle X(t), \nabla_{\gamma'(t)} \gamma'(t) \rangle dt.$$

Corollary If  $\gamma: [a, b] \rightarrow U$  is a geodesic,

then  $\gamma$  satisfies  $\nabla_{\gamma'(t)} \gamma'(t) = 0$   $\forall t \in [a, b]$ , i.e.,  $\gamma''(t) + \Gamma_{\gamma(t)}(\gamma'(t), \gamma'(t)) = 0$ .



- A remark on the tangent vector:

A tangent vector  $v \in T_p U$  is identified with the operator of directional derivative

$$D_v(f) \equiv \frac{df}{dt} \quad \forall \gamma: [0,1] \rightarrow U \text{ with } \gamma(0)=p, \gamma'(0)=v.$$

The above gives an intrinsic description of tangent vectors.

We always denote  $v(f) = D_v(f)$ .

Check:

- (1)  $v(\alpha f + \beta g) = \alpha v(f) + \beta v(g)$ ,
- (2)  $v(1) = 0$ ,
- (3)  $v(fg) = v(f)g + f v(g)$ .

## Levi-Civita Connection

An operator  $\nabla: \mathcal{X}(U) \times \mathcal{X}(U) \rightarrow \mathcal{X}(U)$

is called a linear (or affine) connection if

$$(1) \quad \nabla_{x+y} Z = \nabla_x Z + \nabla_y Z$$

$$(2) \quad \nabla_{fx} Y = f \nabla_x Y$$

$$(3) \quad \nabla_x (Y+Z) = \nabla_x Y + \nabla_x Z$$

$$(4) \quad \nabla_x (fY) = X(f)Y + f \nabla_x Y,$$

where  $f \in C^\infty(U)$ ,  $X, Y, Z \in \mathcal{X}(U)$ .

Furthermore, a linear connection  $\nabla$  is

called a Levi-Civita (Riemannian) connection

if it satisfies

$$\underline{(XY - YX)(f)}$$

(5) [torsion-free or symmetric]

$$\nabla_X Y - \nabla_Y X - [X, Y] = 0 \quad \underline{= XY - YX}$$

(6) [g-parallel]

$$X \underline{g(Y, Z)} = g(\nabla_X Y, Z) + g(Y, \nabla_X Z)$$

$$\frac{\partial}{\partial x_2} \xrightarrow{\text{L}} (1, 0) = \frac{\partial}{\partial x_1}$$

Local representation

Denote by  $\underline{\partial_i = \partial/\partial x_i}$  the coordinate frames

We write  $\underline{\nabla_{\partial_i} \partial_j} = \underline{\Gamma_{ij}^k \partial_k}$

Using (6),

$$\partial_i g_{jk} = g(\nabla_{\partial_i} \partial_j, \partial_k) + g(\partial_j, \nabla_{\partial_i} \partial_k).$$

Permuting the indices and applying (5), (6),

then

$$g(\nabla_{\partial_i} \partial_j, \partial_k) = \frac{1}{2} (\partial_i g_{jk} + \partial_j \partial_{ik} - \partial_k \partial_{ij})$$

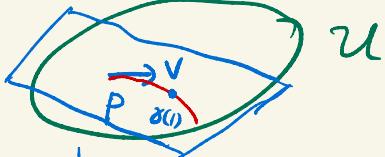
Finally,

$$\underline{\Gamma_{ij}^k} = \frac{1}{2} g^{kl} (\partial_i g_{jl} + \partial_j g_{il} - \partial_l g_{ij})$$

Christoffel Symbol

- Geodesic equation in coordinates  $\gamma(t) = (x_m(t))$

$$\underline{\frac{d^2 x_m(t)}{dt^2} + \Gamma_{ij}^m(t) \cdot \frac{dx_i(t)}{dt} \cdot \frac{dx_j(t)}{dt} = 0}$$



### Exponential map

Let  $U \subseteq \mathbb{R}^n$  be a domain equipped with a Riemannian metric  $g$ .

Then for any  $P \in U$ , the exponential map at  $P$  is defined by

$$\text{Exp}_P(v) = \gamma(1), \quad v \in T_P U \subseteq \mathbb{R}^n,$$

where  $\gamma$  is the geodesic s.t.  $\gamma(0) = P$ ,  $\gamma'(0) = v$ .

Why called "exponential" ???

Lemma. Let  $P \in U$ ,  $O_p \subset T_P U \subseteq \mathbb{R}^n$  open.

Assume that  $\text{Exp}_P: O_p \rightarrow U$  is well-defined.

Then  $\gamma(t) = \text{Exp}_P(tv)$ ,  $t \in [0, t_0]$  is a geodesic with  $\gamma(0) = P$ ,  $\gamma'(0) = v$  if  $tv \in O_p \quad \forall t \in [0, t_0]$ .

Lemma. Let  $\mathcal{E}(x, v) = (x, \text{Exp}_x(v))$ . Then

$$\text{Exp}_x(0) = x$$

$$D(\mathcal{E}) \Big|_{(x,0)} = \begin{bmatrix} (x, v) \mapsto x \\ \text{Id} & 0 \end{bmatrix} \begin{matrix} n & \xrightarrow{v} \\ \downarrow \text{Exp}_x & \\ n & \xrightarrow{v} \end{matrix}$$

In particular,

$\text{Exp}_P: O_p \rightarrow U$  is a local diffeomorphism.

## Lemma (Gauß Lemma)

The exponential map is a radial isometry, i.e.,

$$g_{\text{Exp}_p(v)}(\underline{\text{D}\text{Exp}_p(v)}, \underline{\text{D}\text{Exp}_p(u)}) = g_p(v, u)$$

What about other directions?

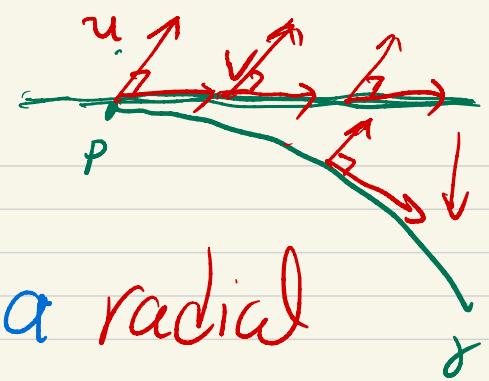
Proof. Consider the variation

$$V(t, s) = \text{Exp}_p(t(v + su))$$

Then  $\gamma_s(\cdot) \equiv V(\cdot, s)$  is a geodesic  
 $\forall s \in (-\epsilon, \epsilon)$ .

$$\begin{aligned} X(t) &= \frac{\partial}{\partial s} \Big|_{s=0} V(t, s) = (\text{D}\text{Exp})_{tv}(tu) \\ &= t(\text{D}\text{Exp})_{tv}(u) \end{aligned}$$

$$\begin{aligned} X(1) &= (\text{D}\text{Exp})_v(u), \quad \gamma'_v(1) = (\text{D}\text{Exp})_v(v) \\ X(0) &= 0, \quad \gamma'_v(0) = v \end{aligned}$$



Applying the first Variation formula,

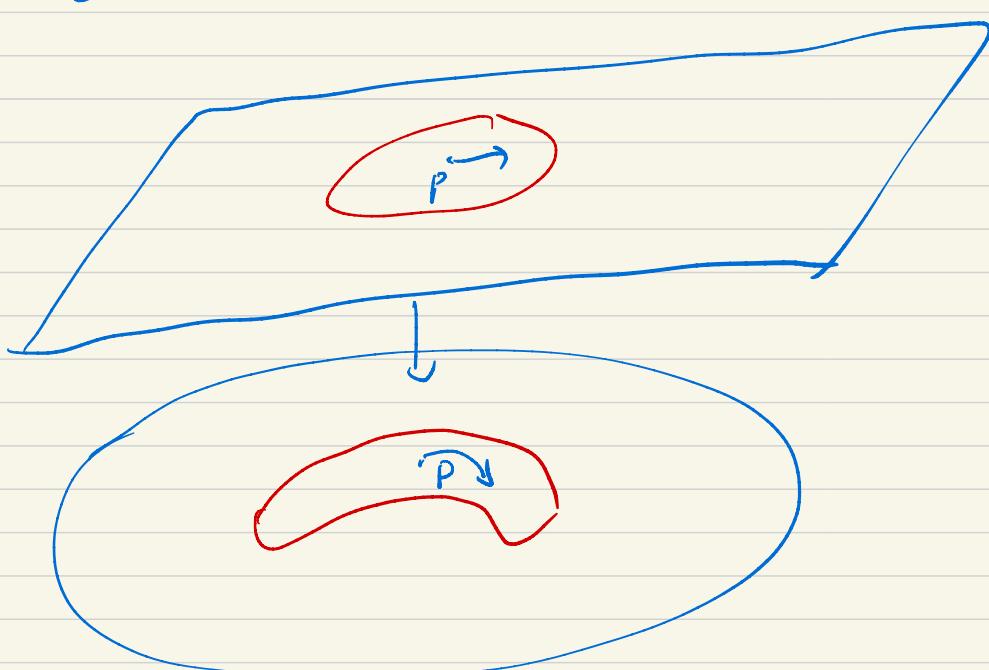
$$\begin{aligned}\frac{d}{ds} \Big|_{s=0} L_S &= g(x(1), \dot{x}_0'(1)) - g(x(0), \dot{x}_0'(0)) \\ &= \|v\|_g^{-1} \cdot \underbrace{g(D\text{Exp})_v(u), (D\text{Exp})_v(v)}_{\text{On the other hand}},\end{aligned}$$

$$L_S = \|v + su\|_g = g(v + su, v + su)^{\frac{1}{2}}$$

Then

$$\frac{d}{ds} \Big|_{s=0} L_S = \|v\|_g^{-1} \cdot \underbrace{g(u, v)}_{\text{v}}$$

$$\Rightarrow g(D\text{Exp})_v(u), (D\text{Exp})_v(v) = g(u, v).$$



$$\begin{aligned}B_\delta(0^n) &= \{x \in \mathbb{R}^n \mid d_0(x, 0^n) < \delta\} \\ B_\delta(p) &= \{x \in U \mid d_g(p, x) < \delta\}\end{aligned}$$