

**HARMONIC SECTIONS OF  
RIEMANNIAN VECTOR BUNDLES**

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The work is dedicated to Professors J. Eells and J.H. Sampson,  
mindful of their paper:

“Harmonic Mappings of Riemannian Manifolds”,

American J. Math, 1964

# Introduction

$(M, g)$   $\cdots$  smooth  $n$ -dimensional Riemannian manifold,

$(\mathcal{E}, \langle \cdot, \cdot \rangle, \nabla) \rightarrow M$   $\cdots$  smooth Riemannian vector bundle,

$\sigma$   $\cdots$  smooth section of  $\mathcal{E}$ ,

$$X \cdot |\sigma|^2 = 2 \langle \nabla_X \sigma, \sigma \rangle$$

**Question:** *Which sections  $\sigma$  are “best”?*

1.  $\sigma$  parallel ( $\nabla \sigma = 0$ ).

**Drawbacks** (“Reduction of holonomy”).

- $|\sigma| = \text{const.}$  ( $\Rightarrow \chi(\mathcal{E}) = 0$ )
- **de Rham Decomposition** ( $\mathcal{E} = TM$ )

## 2. $\sigma$ Hodge-de Rham harmonic ( $\Delta\sigma = 0$ ).

**Drawbacks.** For  $M$  compact:

- **Hodge's Theorem.**

$$\{H\text{-dR harmonic } \sigma\} \cong H^1(M, \mathbb{R})$$

If  $\beta_1(M) = 0$  then:  $\Delta\sigma = 0 \Rightarrow \sigma = 0$ .

- **Bochner's Vanishing Theorem.**

If  $\text{Ric}(M) > 0$  then:  $\Delta\sigma = 0 \Rightarrow \sigma = 0$ .

If  $\text{Ric}(M) \geq 0$  then:  $\Delta\sigma = 0 \Rightarrow \nabla\sigma = 0$ .

### 3. $\sigma$ harmonic section of $\mathcal{E}$ .

$$E^v(\sigma) = \frac{1}{2} \int_M |\nabla\sigma|^2 \text{vol}(g)$$

**Defn.**  $\sigma$  harmonic section of  $\mathcal{E}$  if:  $\frac{d}{dt} \Big|_{t=0} E^v(\sigma_t) = 0, \forall \sigma_t$

Euler-Lagrange eqns:  $\boxed{\nabla^* \nabla \sigma = 0}$   $(\nabla^* \nabla = -\text{Tr} \nabla^2)$

**Drawbacks.**

- If  $M$  compact and  $\nabla^* \nabla \sigma = 0$  then:

$$0 = \int_M \langle \nabla^* \nabla \sigma, \sigma \rangle \text{vol}(g) = \int_M \langle \nabla \sigma, \nabla \sigma \rangle \text{vol}(g), \quad \text{so } \nabla \sigma = 0.$$

4.  $|\sigma| = k$ ,  $\sigma$  harmonic section of  $S\mathcal{E}(k)$ .

$$S\mathcal{E}(k) = \{u \in \mathcal{E} : |u| = k\}, \quad \text{sphere bundle.}$$

## Successes.

- E-L equations: 
$$\nabla^* \nabla \sigma = \frac{1}{k^2} |\nabla \sigma|^2 \sigma \quad (\text{nonlinear}).$$
- Many interesting solns. (eg. Hopf vector fields on  $S^{2p+1}$ ).
- Stability theory. (However...)

## Drawbacks.

- Limited to bundles with  $\chi(\mathcal{E}) = 0$ .

# Desiderata.

A variational theory on  $\mathcal{C}^\infty(\mathcal{E})$  which:

- Works for all bundles  $\mathcal{E} \rightarrow M$ , including  $\chi(\mathcal{E}) \neq 0$ .
- Applies to all sections  $\sigma$  of  $\mathcal{E}$ .
- Includes all solutions of 4. as critical points.

## Basic Idea.

Eliminate all constraints by perturbing the background geometry (of  $\mathcal{E}$ ).

# The Harmonic Section Variational Problem

$\sigma: M \rightarrow \mathcal{E}$ , section.     $\mathcal{V} \subset T\mathcal{E}$ , vertical subbundle.

• For each  $x \in M$  form:     $d^v\sigma(x): T_x M \rightarrow \mathcal{V}_{\sigma(x)} \subset T_{\sigma(x)}\mathcal{E}$

• Compute:     $|d^v\sigma(x)|^2 = \sum_i |d^v\sigma(x)(E_i)|^2$     where:

$\{E_i\}$  is a  $g(x)$ -orthonormal basis of  $T_x M$ ,

$|\cdot|^2$  on  $T_{\sigma(x)}\mathcal{E}$  is induced by the *Sasaki metric*  $h$  on  $\mathcal{E}$ .

• Then: 
$$E^v(\sigma) = \frac{1}{2} \int_M |\nabla\sigma|^2 \text{vol}(g) = \frac{1}{2} \int_M |d^v\sigma|^2 \text{vol}(g)$$



# Metrics

Suppose  $V \in \mathcal{V}_u$ ,  $u \in \mathcal{E}$ .

Then  $V = v'(0)$  for a unique str. line  $v(t) = u + tv$ ,  $v \in \mathcal{E}_{\pi(u)}$

**Sasaki metric.** Define:  $|V|^2 = |v|^2$ .

**Cheeger-Gromoll metric.**  $|V|^2 = \frac{1}{1 + |u|^2} (|v|^2 + \langle u, v \rangle^2)$

**LBW metric.** For any  $(m, r) \in \mathbb{R}^2$  define:

$$|V|_{m,r}^2 = \frac{1}{(1 + |u|^2)^m} (|v|^2 + r \langle u, v \rangle^2)$$

Obtain a “metric”  $h_{m,r}$  on  $\mathcal{E}$ .

*Note.*  $h_{0,0} = \text{Sasaki}$ ,  $h_{1,1} = \text{Cheeger-Gromoll}$ .

*Note.* If  $r < 0$  then  $h_{m,r}$  is Riemannian only on a tubular nhd. of the zero section:

$$B\mathcal{E}(1/\sqrt{-r}) = \{u \in \mathcal{E} : |u|^2 < -1/r\}$$

**Definition.** Say  $\sigma$  is  $r$ -Riemannian if  $r|\sigma(x)|^2 \geq -1, \forall x$ .

*Note.* If  $r > 0$  then every  $\sigma$  is  $r$ -Riemannian.

*Note.* If  $r_1 < r_2$  then  $r_1$ -Riemannian  $\Rightarrow$   $r_2$ -Riemannian.

Replacing Sasaki with LBW yields:

$$E^v(\sigma) = E_{m,r}^v(\sigma) = \frac{1}{2} \int_M w^m(\sigma) (|\nabla\sigma|^2 + r|X(\sigma)|^2) \text{vol}(g)$$

where  $w(\sigma) = \frac{1}{1 + |\sigma|^2}$  and  $X(\sigma) = \frac{1}{2} \nabla|\sigma|^2$ .

**Remark.** If  $r < 0$  and  $|\sigma|^2 \leq -1/r$ , deduce *Kato's Inequality*:

$$|\nabla\sigma|^2 + r|X(\sigma)|^2 \geq 0, \quad \text{with equality iff } \nabla\sigma = 0.$$

**Definition.** A harmonic section of  $\mathcal{E}$  w.r.t.  $h_{m,r}$  is  $(m, r)$ -harmonic.

*Note.* Not necessarily  $r$ -Riemannian.

# Euler-Lagrange Equations

**Remark.** If  $|\sigma| = k$  then: 
$$E_{m,r}^v(\sigma) = \frac{1}{(1+k^2)^m} E_{0,0}^v(\sigma)$$

$\sigma$  is an  $(m, r)$ -harmonic section of  $S\mathcal{E}(k)$  if and only if  $\sigma$  is a harmonic section of  $S\mathcal{E}(k)$ .

**Technical Theorem.**  $\sigma$  is an  $(m, r)$ -harmonic section of  $\mathcal{E}$  iff:

$$\boxed{T_m(\sigma) = \phi_{m,r}(\sigma) \sigma} \quad \text{where:}$$

$$T_m(\sigma) = (1 + 2F) \nabla^* \nabla \sigma + 2m \nabla_{X(\sigma)} \sigma$$

$$\phi_{m,r}(\sigma) = m |\nabla \sigma|^2 - mr |X(\sigma)|^2 - r(1 + 2F) \Delta F$$

$$2F = |\sigma|^2$$

**Theorem A.** *Suppose  $|\sigma(x)| = k > 0$  for all  $x \in M$ .*

(a) *If  $m \neq 1 + 1/k^2$  then  $\sigma$  is an  $(m, r)$ -harmonic section of  $\mathcal{E}$  if and only if  $\nabla\sigma = 0$ ;*

(b) *If  $m = 1 + 1/k^2$  then  $\sigma$  is an  $(m, r)$ -harmonic section of  $\mathcal{E}$  if and only if  $\sigma$  is a harmonic section of  $S\mathcal{E}(k)$ .*

**Example.** For  $m > 1$  define: 
$$\sigma = \frac{1}{\sqrt{m-1}} \xi,$$

where  $\xi$  is the Hopf vector field on  $M = S^{2p+1}$ .

Since  $\xi$  is a harmonic section of  $S\mathcal{E}(1)$ ,  $\sigma$  is harmonic section of  $S\mathcal{E}(1/\sqrt{m-1})$ , hence a (non-parallel)  $(m, r)$ -harm. section of  $\mathcal{E}$ .

**Theorem B.** *Suppose  $M$  is compact,  $\chi(\mathcal{E}) \neq 0$ , and  $\sigma \neq 0$ .*

*For each  $m \in \mathbb{R}$  there exists at most one  $r \in \mathbb{R}$  such that  $\sigma$  is*

*$(m, r)$ -harmonic, and:*

- (a) *if  $-4 \leq m \leq -1$  then  $r < -1 - m$ ;*
- (b) *if  $-1 \leq m \leq 1$  then  $r < 0$ ;*
- (c) *if  $1 < m \leq 2$  and  $\|\sigma\|_\infty \leq 1/\sqrt{m-1}$  then  $r < 0$ ;*
- (d) *if  $2 \leq m$  and  $\|\sigma\|_\infty \leq 1/\sqrt{m-1}$  then  $r < 1 - m/2$ .*

**Remark.** Restrictions when  $m < -4$ ?

**Remark.**  $\|\sigma\|_\infty$  indicates non-linearity of  $(m, r)$ -harmonic section equations.

**Definition.** Define  $\rho: [-4, \infty) \rightarrow \mathbb{R}$  as follows:

$$\rho(m) = \begin{cases} -1 - m, & m \in [-4, -1] \\ 0, & m \in [-1, 2] \\ (2 - m)/2, & m \in [2, \infty) \end{cases}$$

**Definition.**  $\sigma$  is *strictly  $r$ -Riemannian* if  $\sigma$  is  $r$ -Riemannian and:

$$r |\sigma(x)|^2 > -1, \quad \text{for some } x \in M.$$

**Theorem B<sub>+</sub>.** *Suppose  $M$  compact.*

*Suppose  $m \geq -4$ ,  $r \geq \rho(m)$  and  $\sigma$  is strictly  $(1 - r)$ -Riemannian.*

*Then  $\sigma$  is  $(m, r)$ -harmonic if and only if  $\nabla\sigma = 0$ .*

$$\mathcal{F}_- = \{(m, r) : m < 0, r \leq 2m\}, \quad \mathcal{F}_0 = \{(m, r) : 0 \leq m \leq 1\},$$

$$\mathcal{F}_1 = \{(m, r) : m > 1, r < 1 - m\}, \quad \mathcal{F} = \mathcal{F}_- \cup \mathcal{F}_0 \cup \mathcal{F}_1$$

**Theorem C.** *Suppose that:*

- $(m, r) \in \mathcal{F}$ ;
- $\sigma$  is  $r$ -Riemannian;
- $|\sigma|^2: M \rightarrow \mathbb{R}$  is a harmonic function.

*Then  $\sigma$  is an  $(m, r)$ -harmonic section if and only if  $\nabla\sigma = 0$ .*

**Remark.** If  $|\sigma| = k$  and  $\sigma$  is  $r$ -Riemannian, then  $r \geq -1/k^2$ .

Thus if  $m = 1 + 1/k^2$  then  $r \geq 1 - m$  (ie.  $(m, r) \notin \mathcal{F}$ ).



# New Examples

**Remark.** From Theorems B and C:

If  $0 \leq m \leq 1$  and  $\sigma$  an  $(m, m)$ -harmonic section of  $\mathcal{E}$  (with  $|\sigma|^2$  harmonic if  $M$  non-compact) then  $\nabla\sigma = 0$ .

**Moral.** Cheeger-Gromoll no better than Sasaki!

$$M = S^n \subset \mathbb{R}^{n+1}$$

Let  $\xi$  be a *standard gradient field*:  $\xi = \nabla\lambda$ ,

where  $\lambda: S^n \rightarrow \mathbb{R}$  is the restriction of a unit vector of  $(\mathbb{R}^{n+1})^*$ .

Define  $\sigma = k\xi$ ,  $k \in \mathbb{R}$ .

## Theorem.

$\sigma$  is a non-trivial  $(m, r)$ -harmonic section of  $TM$  iff  $n \geq 3$  and:

$$m = n + 1, \quad r = 2 - n, \quad k^2 = -1/r.$$

**Remark.**  $\|\sigma\|_\infty = |k| = 1/\sqrt{-r}$ .

- $\sigma$  is  $r$ -Riemannian, but only just!
- $r \leq 1 - m/2$ , with equality only when  $n = 3$ . However:

$$\|\sigma\|_\infty = 1/\sqrt{n-2} = 1/\sqrt{m-3} > 1/\sqrt{m-1}$$

So consistent with Theorem B.

**Remark.** Non-invariance under scaling!

**Idea.** Try to find new examples by rescaling old ones!

**Theorem.** *Suppose  $\sigma = f\xi$  where:*

- $\xi$  is the Hopf vector field on  $M = S^{2p+1}$ ;
- $f: M \rightarrow \mathbb{R}$  is any smooth function.

*Then  $\sigma$  is a non-trivial  $(m, r)$ -harmonic section of  $TM$  iff:*

$$m > 1 \quad \text{and} \quad f = \pm 1/\sqrt{m-1}.$$

**Remark.** These are the  $(m, r)$ -harmonic sections of Theorem A.

**Theorem.** Let  $n = 2q - 1$ , and let  $\lambda: S^n \rightarrow \mathbb{R}$  be the restriction of the following harmonic quadratic form on  $\mathbb{R}^{n+1}$ :

$$k(x_1^2 + \cdots + x_q^2 - x_{q+1}^2 - \cdots - x_{2q}^2), \quad k \in \mathbb{R}.$$

Vector field  $\sigma = \frac{1}{2} \nabla \lambda$  is an  $(m, r)$ -harmonic section of  $TS^n$  iff:

- $n \geq 5$ ;
- $2m = n + 3$  (ie.  $m = q + 1$ );
- $k^2$  is determined by:

$$4(m - 3)k^2 = 4 + 2m - m^2 + \sqrt{m - 2} \sqrt{m^3 - 2m^2 - 8}$$

- $r$  is determined by:  $0 = m(m - 3) + 2r(m + k^2)$

## Low dimensions.

$$n = 5, \quad m = 4, \quad r = \frac{1}{\sqrt{3}} - 1, \quad k^2 = \sqrt{3} - 1$$

$$n = 7, \quad m = 5, \quad r = \frac{\sqrt{201} - 24}{16}, \quad k^2 = \frac{\sqrt{201} - 11}{8}$$

$$n = 9, \quad m = 6, \quad r = \frac{\sqrt{34} - 13}{5}, \quad k^2 = \frac{\sqrt{34} - 5}{3}$$