

**Geometric structures on  
Fano Manifolds  
of Picard number 1**

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$X$  Fano Miyaoka-Mori, i.e.  $K_X^{-1} > 0$

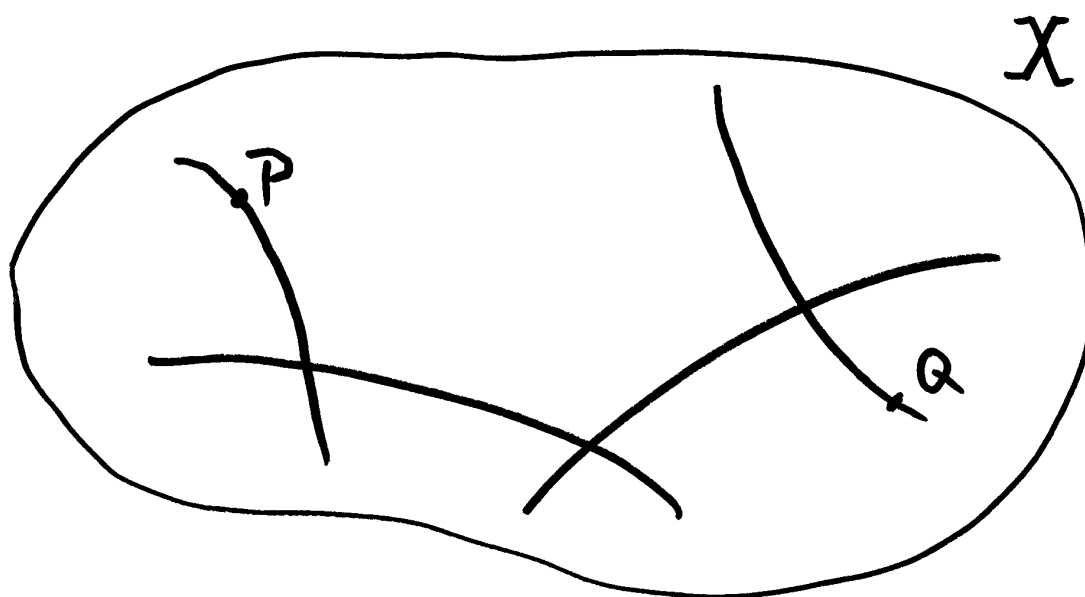
By Miyaoka-Mori,

$X$  is uniruled, i.e.

“filled up by rational curves”

By Kollar-Miyaoka-Mori

$X$  is rationally connected



Differential-geometric criterion:

$X$  Fano  $\Leftrightarrow \exists g$  Kähler,  $\text{Ric}(X, g) > 0$

## Grothendieck Splitting Theorem (1956)

$V \mapsto \mathbb{P}^1$  holomorphic vector bundle. Then

$$V \cong \mathcal{O}(a_1) \oplus \cdots \oplus \mathcal{O}(a_r) ,$$

where  $a_1 \leq \cdots \leq a_r$  are unique.

## Formulation in terms of matrices

Let  $f : \mathbb{C} - \{0\} \mapsto GL(n, \mathbb{C})$  be holomorphic.

Then there exist

$$g_1 : \mathbb{C} \rightarrow GL(n, \mathbb{C}) , \quad g_2 : \mathbb{P}^1 - \{0\} \rightarrow GL(n, \mathbb{C})$$

such that

$$g_1 f g_2^{-1}(z) = \begin{bmatrix} z^{a_1} & & \\ & \ddots & \\ & & z^{a_r} \end{bmatrix}$$

Hilbert (1905), Plemelj (1908), Birkhoff (1913),  
Hasse (1895)

## Deformation of Rational Curves

$X$  complex mfd,  $f : \mathbb{P}^1 \rightarrow X$ ,  $f(\mathbb{P}^1) = C$

$\{C_t\}$  hol. family of  $\mathbb{P}^1$ , defined by

$f_t : \mathbb{P}^1 \rightarrow X$ ,  $f_0 = f$ ,  $C_0 = C$ .

Write  $F(z, t) = f_t(z)$

$$\frac{\partial F}{\partial t} \Big|_{t=0} = s \in \Gamma(\mathbb{P}^1, f^*T_X) .$$

Any section  $s \in \Gamma(\mathbb{P}^1, f^*T_X)$  is a candidate for infinitesimal deformation.

Use power series to construct

$$F(z, t) = f_t(z)$$

Obstruction to construction given by  
 $H^1(\mathbb{P}^1, f^*T_X)$

$$H^1(\mathbb{P}^1, f^*T_X) = \sum_{i=1}^r H^1(\mathbb{P}^1, \mathcal{O}(a_i))$$

$$H^1(\mathbb{P}^1, \mathcal{O}(a)) = 0 \quad \forall a \geq -1 .$$

## Example of hol. vector bundles on $\mathbb{P}^1$

(A)  $\mathbb{P}^1 \subset \mathbb{P}^2$ ;  $V = T_{\mathbb{P}^2}|_{\mathbb{P}^1}$

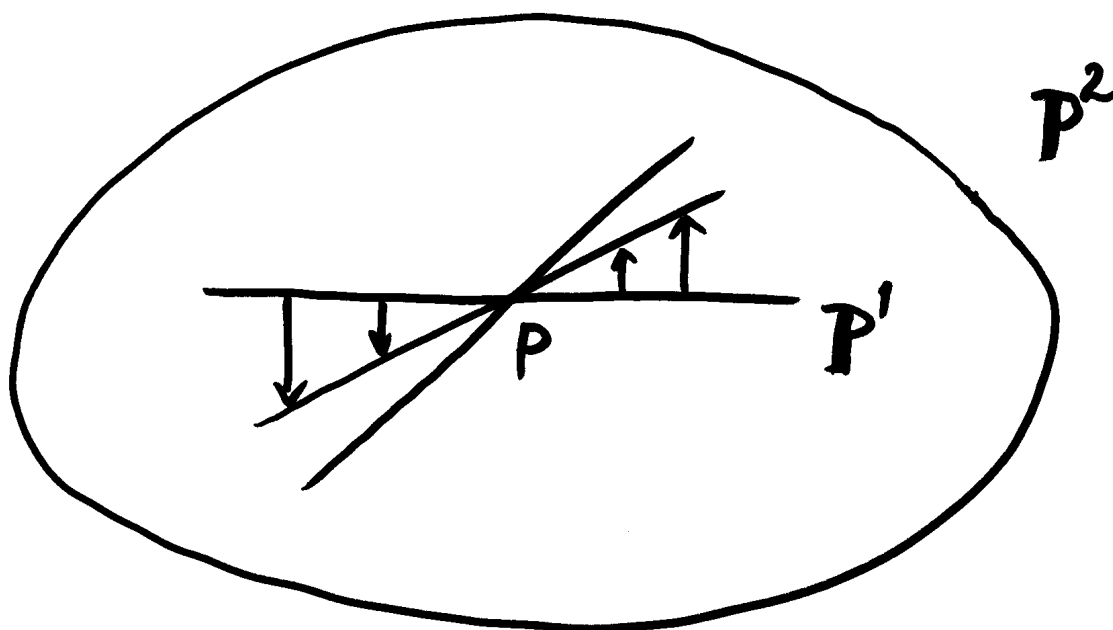
$V/T_{\mathbb{P}^1} = N_{\mathbb{P}^1|\mathbb{P}^2}$ ,  $N$  = normal bundle.

$\exists$  hol. vector fields of  $\mathbb{P}^2$ , along  $\mathbb{P}^1$ , corresponding to inf. deformation of lines in  $\mathbb{P}^2$ . Using  $s$ , we have,  $s(P) = 0$

$$\begin{aligned} V &\cong T_{\mathbb{P}^1} \oplus N_{\mathbb{P}^1|\mathbb{P}^2} \\ &\cong \mathcal{O}(2) \oplus \mathcal{O}(1) . \end{aligned}$$

In general,

$$T_{\mathbb{P}^n}|_{\mathbb{P}^1} \cong \mathcal{O}(2) \oplus [\mathcal{O}(1)]^{n-1} .$$



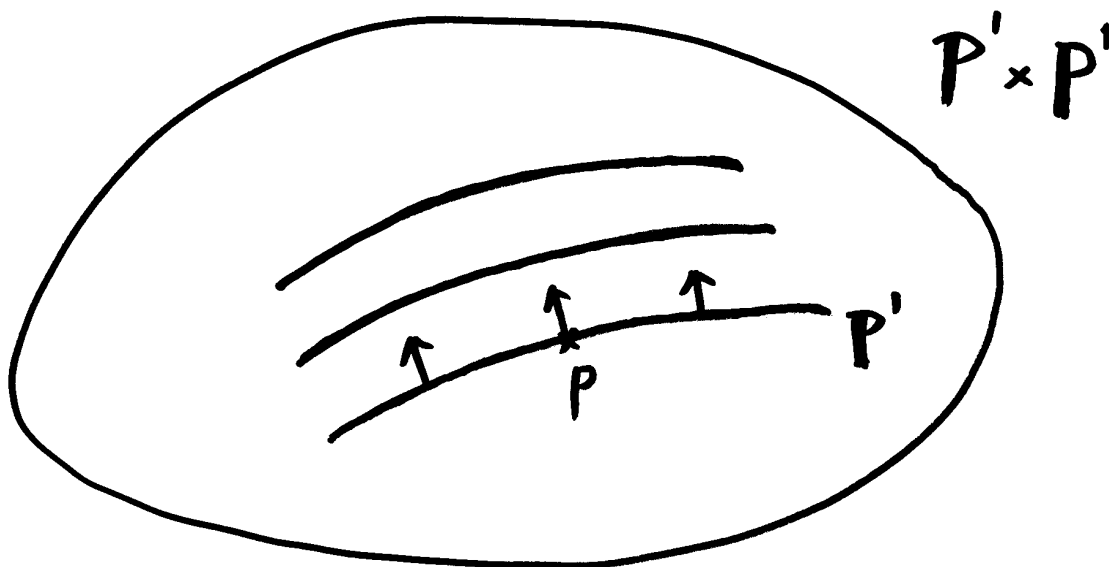
$$(B) \mathbb{P}^1 \subset \mathbb{P}^1 \times \mathbb{P}^1, \quad z \rightarrow (z, 0)$$

$$T_{\mathbb{P}^1 \times \mathbb{P}^1}|_{\mathbb{P}^1} \cong \mathcal{O}(2) \oplus \mathcal{O}.$$

$$(C) Q^n \subset \mathbb{P}^{n+1} \text{ hyperquadric, defined by } z_0^2 + \dots + z_{n+1}^2 = 0$$

$$T_{Q^n}|_{\mathbb{P}^1} \cong \mathcal{O}(2) \oplus [\mathcal{O}(1)]^{n-2} \oplus \mathcal{O}.$$

Trivial factor:  $Q^2 \subset Q^n$ ;  $Q^2 \cong \mathbb{P}^1 \times \mathbb{P}^1$ .



$s =$  nowhere zero section

$X$  Fano,  $L > 0$ ,  $\delta_L = \deg$ .

minimal rational curve  $C$  attains

$$\min\{\delta_L(C) : T_X|_C \geq 0\} .$$

Deformation Theory of Rational Curves

$\implies$  For a very general point  $P \in X$ ,

$$T_X|_C \geq 0 \quad \forall C \text{ rat.}, \quad P \in C .$$

Consequence

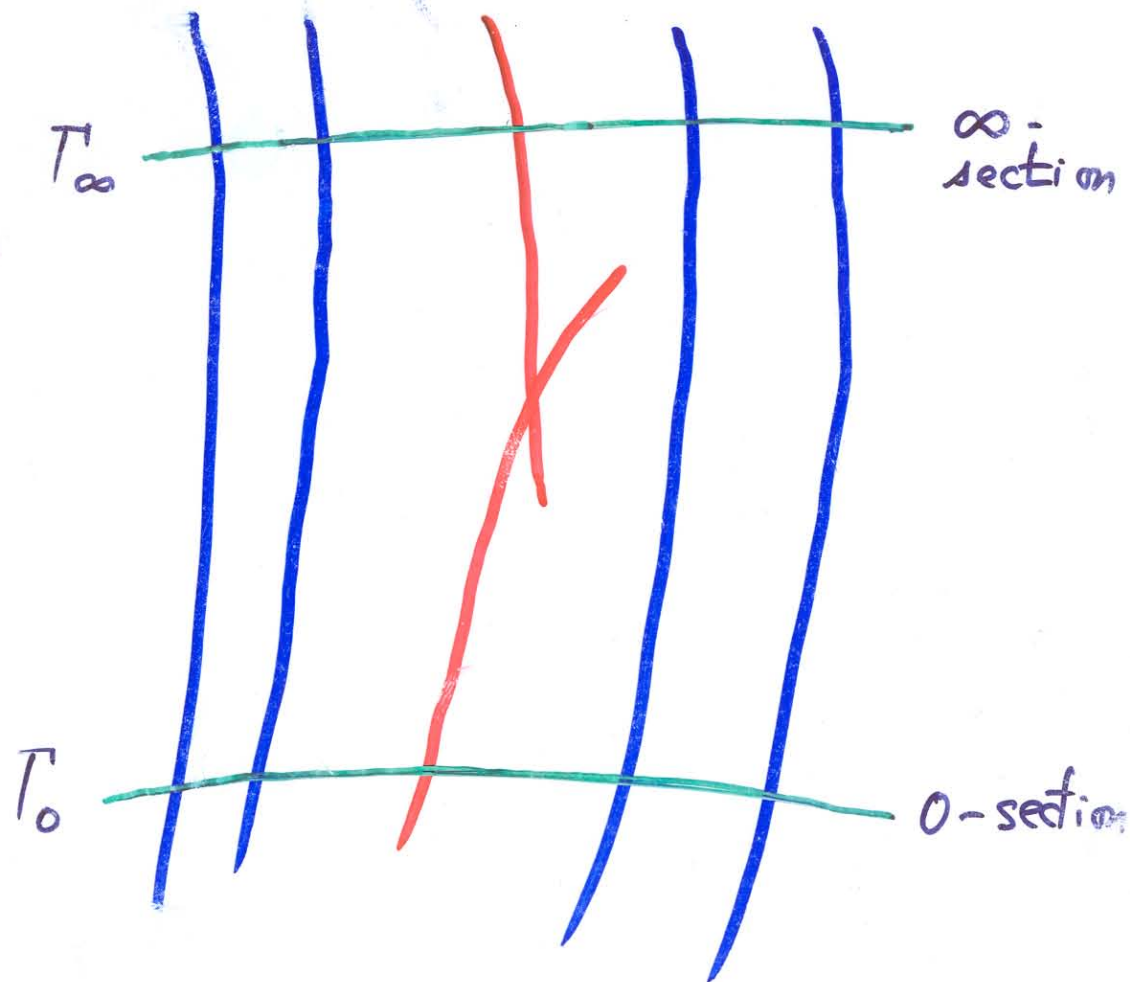
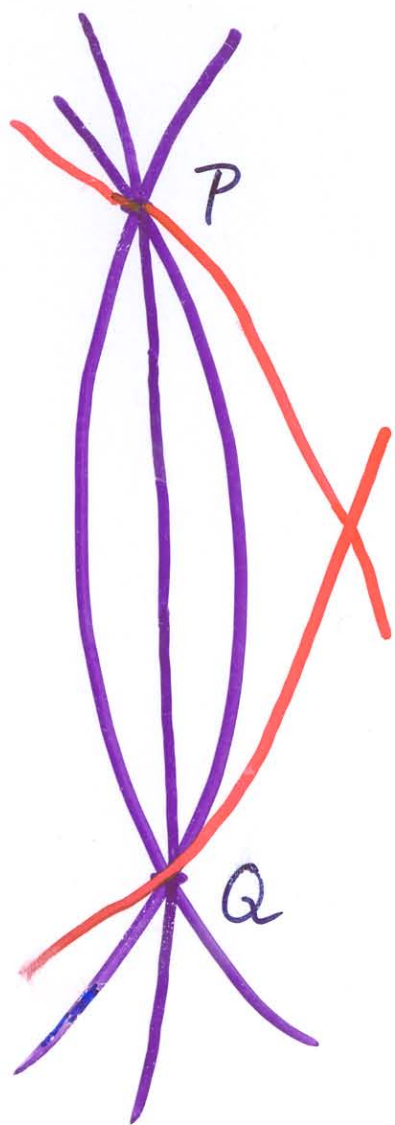
$\mathcal{K}$  = choice of irr. comp. of mrc

For  $P$  generic,  $[C] \in \mathcal{K}$  generic

$f : \mathbb{P}^1 \rightarrow X$ ,  $C = f(\mathbb{P}^1)$ . Then,

$$f^*T_X \cong \mathcal{O}(2) \oplus [\mathcal{O}(1)]^p \oplus \mathcal{O}^q .$$

# Mori's "Breaking-up Lemma"



Family of curves fixing 2 points  $P, Q \in X$   
must break up. Otherwise  $T_\infty \cdot T_\infty = -T_0 \cdot T_0$



## Varieties of Minimal Rational Tangents

$X$  uniruled,

$\mathcal{K}$  = component of Chow space of minimal rational curves

$\mu : \mathcal{U} \rightarrow X$ ;  $\rho : \mathcal{U} \rightarrow \mathcal{K}$  universal family

$x \in X$  generic;  $\mathcal{U}_x$  smooth

*The tangent map  $\tau : \mathcal{U}_x \rightarrow \mathbb{P}T_x(X)$  is given by*

$$\tau([C]) = [T_x(C)] ;$$

*for  $C$  smooth at  $x \in X$ .*

$\tau$  is rational, generically finite,

a priori **undefined for  $C$  singular at  $x$ .**

*We call the strict transform*

$$\tau(\mathcal{U}_x) = \mathcal{C}_x \subset \mathbb{P}T_x(X)$$

*variety of minimal rational tangents.*

For  $C$  standard,  $T_x(C) = \mathbb{C}\alpha$

$$T|_C \cong \mathcal{O}(2) \oplus \mathcal{O}(1)^p \oplus \mathcal{O}^q$$

$P_\alpha := [\mathcal{O}(2) \oplus \mathcal{O}(1)^p]_x$  , positive part .

Then,

$$T_\alpha(\tilde{C}_x) = P_\alpha ;$$

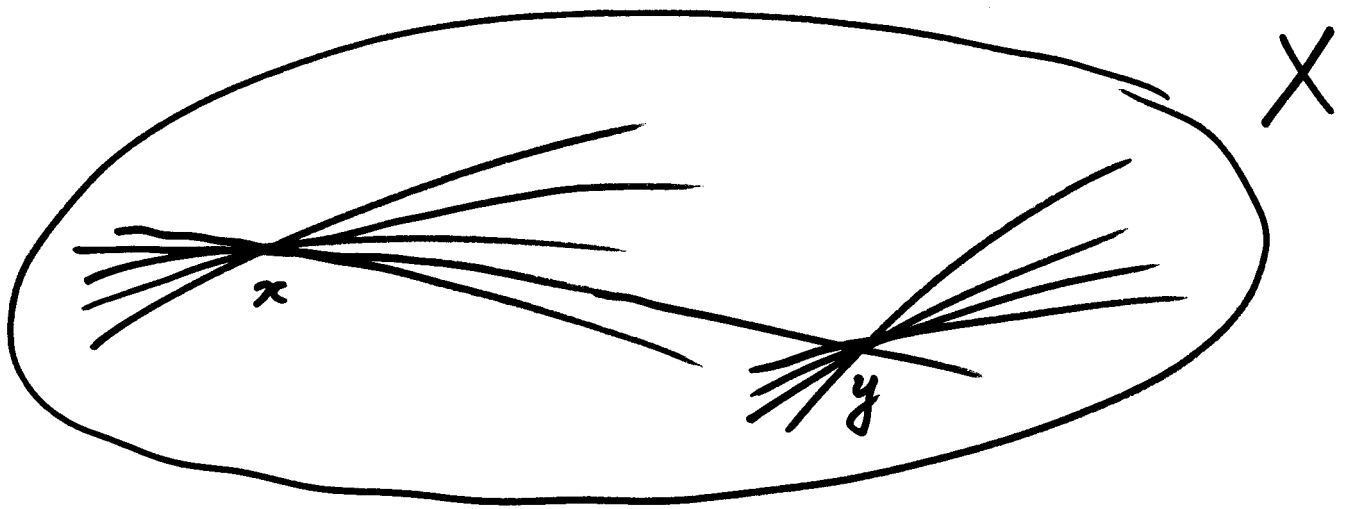
$$T_{[\alpha]}(C_x) = P_\alpha \bmod \mathbb{C}\alpha .$$

In other words,

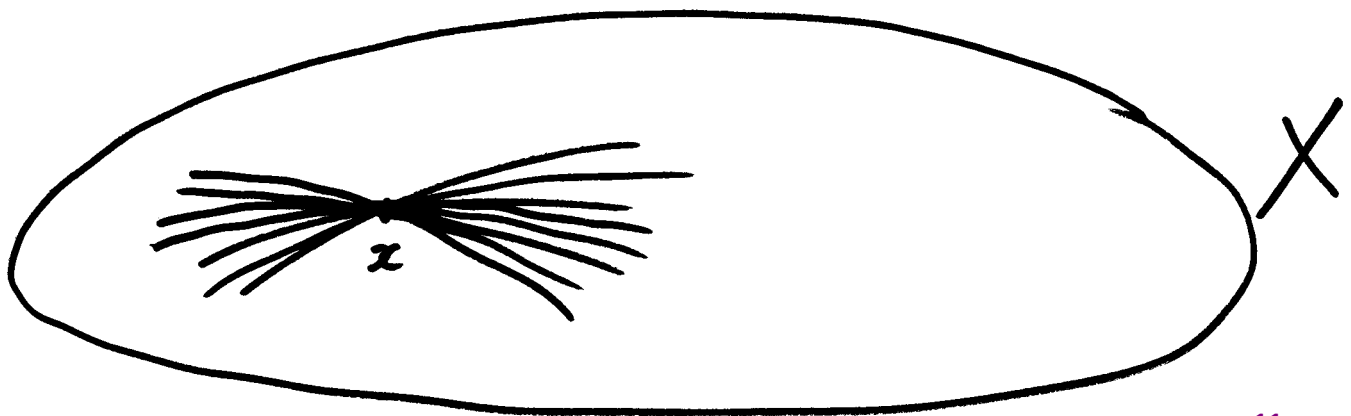
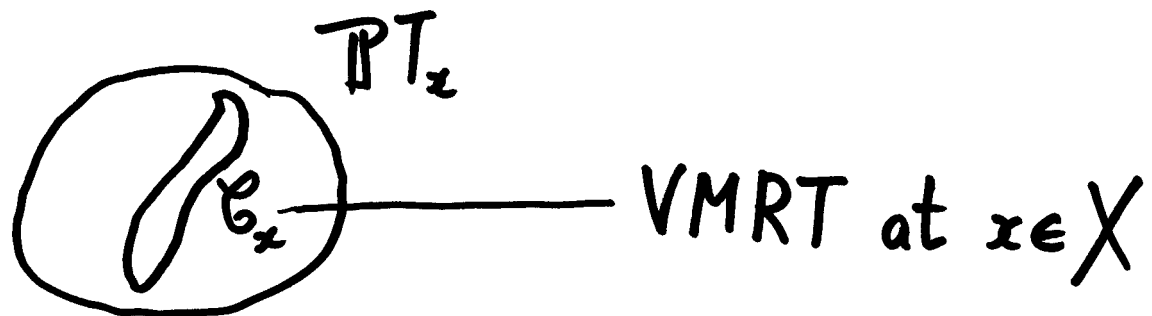
$$\dim(\mathcal{C}_x) = p ,$$

and  $\mathcal{C}_x$  is infinitesimally determined by splitting types.

# Minimal Rational Curves



## Variety of Minimal Rational Tangents (VMRT)



Characterization of  $\mathbb{P}^n$  (Cho-Miyaoka-Shepherd-Barron 2002)

$X$  irr. normal variety,  $\dim(X) = n$ .

Suppose there exists a minimal component  $\mathcal{K}$  on  $X$  such that

$$\mathcal{C}(\mathcal{K}) = \mathbb{P}T_X .$$

Then, there exists

$$\nu : \mathbb{P}^n \rightarrow X$$

étale over  $X - \text{Sing}(X)$  such that

members of  $\mathcal{K} =$  images of lines in  $\mathbb{P}^n$ .

In particular

$$X \text{ smooth} \Rightarrow X \cong \mathbb{P}^n .$$

**Theorem (Kebekus 2002, JAG).**

*The tangent map*

$$\tau_x : \mathcal{U}_x \rightarrow \mathbb{P}T_x(X)$$

*is a morphism at a generic point  $x \in X$ .*

**Theorem (Hwang-Mok 2004, AJM).**

*The tangent map*

$$\tau_x : \mathcal{U}_x \rightarrow \mathcal{C}_x \subset \mathbb{P}T_x(X)$$

*is a birational morphism at a generic point  $x \in X$ .*

## Examples of VMRTs

Fermat hypersurface  $1 \leq d \leq n - 1$

$$X = \{Z_0^d + Z_1^d + \cdots + Z_n^d = 0\}$$

$$x = [z_0, z_1, \dots, z_n] \in X.$$

FIND all  $(w_0, w_1, \dots, w_n)$  such that  $\forall t \in \mathbb{C}$ .

$$[z_0 + tw_0, z_1 + tw_1, \dots, z_n + tw_n] \in X$$

$$(z_0 + tw_0)^d + \cdots + (z_n + tw_n)^d = 0$$

$$0 = (z_0^d + \cdots + z_n^d)$$

$$+ t(z_0^{d-1}w_0 + \cdots + z_n^{d-1}w_n) \cdot d$$

$$+ t^2(z_0^{d-2}w_0^2 + \cdots + z_n^{d-2}w_n^2) \cdot \frac{d(d-1)}{2}$$

$$+ \cdots + t^d(w_0^d + \cdots + w_n^d) \cdot d.$$

When  $(z_0, z_1, \dots, z_n)$  is fixed, we get  $d + 1$  equations, and

$\mathcal{C}_x$  = complete intersection of  $d - 1$  hypersurfaces of degree  $2, 3, \dots, d$  in  $\mathbb{P}T_x(X) \cong \mathbb{P}^{n-1}$

If  $d \leq n - 1$ ,  $\dim(\mathcal{C}_x) = (n + 1) - (d + 1) - 1 = n - d - 1 \geq 0$ .

# Examples of VMRT

$X$	(generic) VMRT $\mathcal{C}_x$
$\mathbb{P}^n$	$\mathbb{P}^{n-1}$
$Q^n$	$Q^{n-2}$
cubic in $\mathbb{P}^{n+1}$	$\text{codim } 2 \subset \mathbb{P}^{n-1}$ = quadric $\cap$ cubic, deg. 6
$X_3^3 \subset \mathbb{P}^4$	6 points
$X_3^4 \subset \mathbb{P}^5$	deg. 6 curve of genus 4
$X_3^5 \subset \mathbb{P}^6$	$K^3$ – surfaces
$X_d^n \subset \mathbb{P}^{n+1}$ , $d < n$	complete intersection $\subset \mathbb{P}^n$ of degrees $1, 2, \dots, d$

In these examples,

$$\{\text{mrc}\} = \{\text{lines in } \mathbb{P}^n \text{ contained in } X\} .$$

Type	$G$	$K$	$G/K = S$	$\mathcal{C}_o$	Embedding
I	$SU(p+q)$	$S(U(p) \times U(q))$	$G(p, q)$	$\mathbb{P}^{p-1} \times \mathbb{P}^{q-1}$	Segre
II	$SO(2n)$	$U(n)$	$G^{II}(n, n)$	$G(2, n-2)$	Plücker
III	$Sp(n)$	$U(n)$	$G^{III}(n, n)$	$\mathbb{P}^{n-1}$	Veronese
IV	$SO(n+2)$	$SO(n) \times SO(2)$	$Q^n$	$Q^{n-2}$	by $\mathcal{O}(1)$
V	$E_6$	$\text{Spin}(10) \times U(1)$	$\mathbb{P}^2(\mathbb{O}) \otimes_{\mathbb{R}} \mathbb{C}$	$G^{II}(5, 5)$	by $\mathcal{O}(1)$
VI	$E_7$	$E_6 \times U(1)$	exceptional	$\mathbb{P}^2(\mathbb{O}) \otimes_{\mathbb{R}} \mathbb{C}$	Severi



## Scope

Algebraic Geometry  $\left\{ \begin{array}{l} \text{Mori theory} \\ \text{Hilbert schemes} \\ \text{projective geometry} \end{array} \right.$

Differential Geometry  $\left\{ \begin{array}{l} \text{distributions} \\ G\text{-structures} \end{array} \right.$

Several  
Complex Variables  $\left\{ \begin{array}{l} \text{Hartogs phenomenon} \\ \text{analytic continuation} \end{array} \right.$

Lie Theory  $\left\{ \begin{array}{l} \text{Hermitian symmetric spaces} \\ \text{rational homog. spaces } G/P \end{array} \right.$

## Examples of $G$ -structures

### Riemannian Geometry

A Riemannian metric  $\sum g_{ij} dx^i \otimes dx^j$  gives a reduction of the structure group from  $GL(n, \mathbb{R})$  to  $O(n, \mathbb{R})$ ;  $G = O(n, \mathbb{R})$ .

### Holomorphic Metrics

$X$  complex manifold,

$$\sum g_{ij} dz^i \otimes dz^j$$

hol. symmetric 2-tensor,

$$\det(g_{ij}) \neq 0 ;$$

$g$  a *holomorphic metric*;

Hol.  $G$ -structure with  $G = O(n; \mathbb{C})$ .

Theorem (Hwang-Mok, Crelle 1997)

$V$  model vector space  $\cong \mathbb{C}^n$ ,

$G$  reductive complex Lie group,

$G \subsetneq GL(V)$  irreducible faithful representation,

$M$  Fano manifold with holomorphic  $G$ -structure.

Then, the  $G$ -structure is flat

$$M \cong S ,$$

where  $S =$  irr. HSS, compact type of rank  $\geq 2$ .

## Lazarsfeld's Problem

**Theorem (Hwang-Mok, Invent. 1999).**

**(2nd proof: Asian J. Math. 2004)**

$Y = G/P$  rational homogeneous

$P$  maximal parabolic, i.e.  $b_2(Y) = 1$

$X$  projective manifold

$f : Y \rightarrow X$  finite holomorphic map

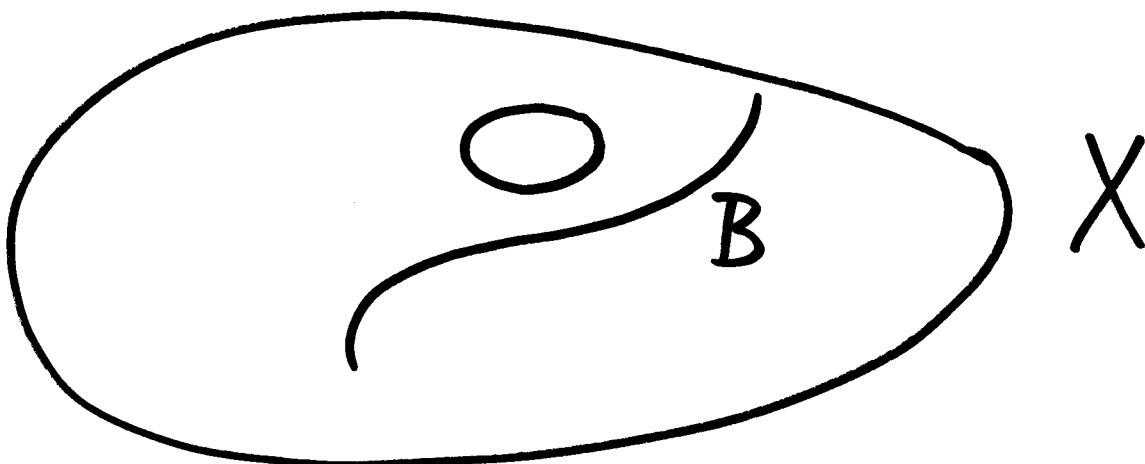
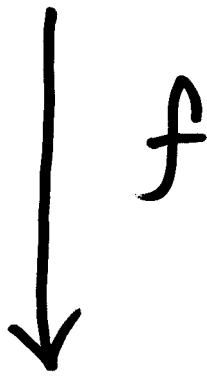
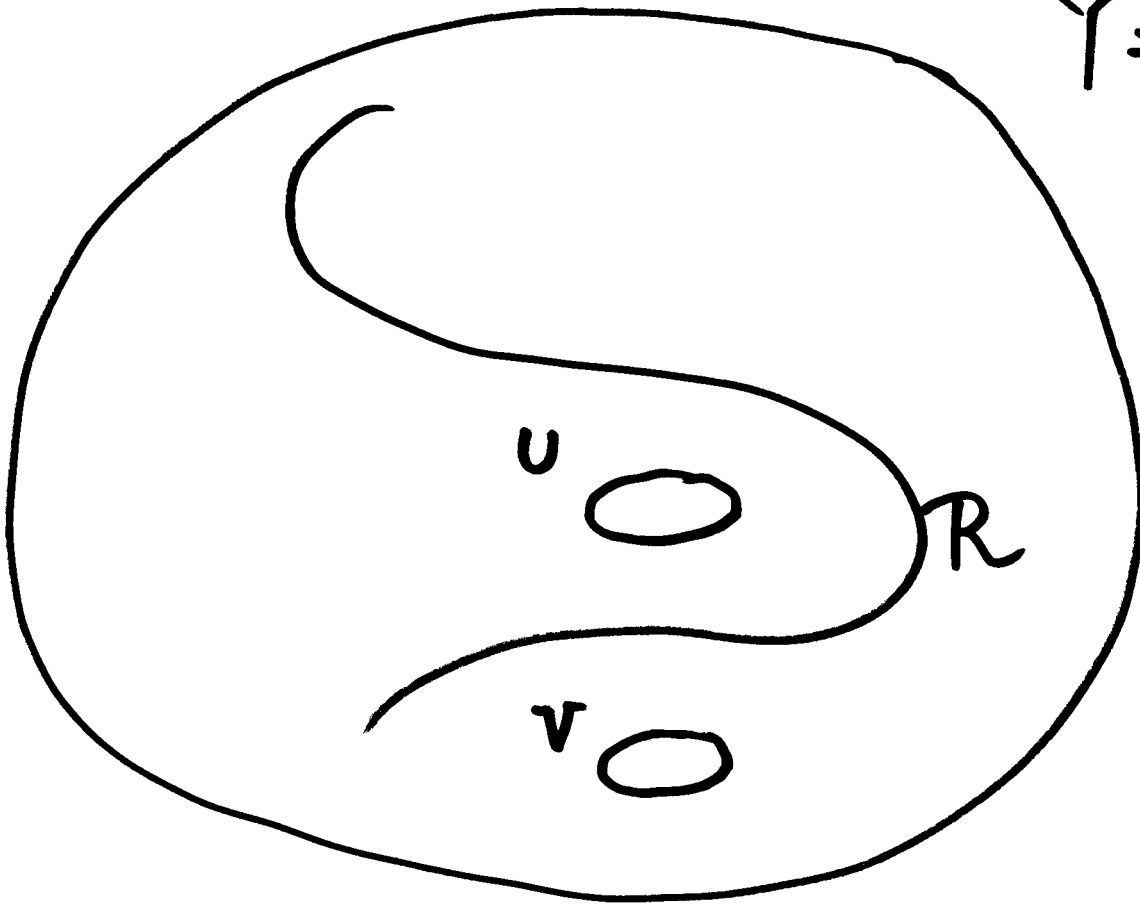
Then,

*EITHER*

(a)  $X \cong \mathbb{P}^n$  ; OR

(b)  $f : Y \xrightarrow{\cong} X$  is a biholomorphism.

$$Y = G/P$$



## Lazarsfeld's Problem

Principle of Proof:

$$f : Y \rightarrow X ; \quad Y = G/P , \quad b_2(Y) = 1 .$$

Suppose  $X \not\cong \mathbb{P}^n$ ;  $f$  not a biholomorphism. To derive a contradiction let

$$\begin{array}{l} \varphi : U \xrightarrow{\cong} V ; \quad U, V \subset Y \\ \text{such that} \quad f \circ \varphi \equiv f. \end{array}$$

$\mathcal{C} \subset \mathbb{P}T(X)$  varieties of mrt

$$\mathcal{D} := f^*\mathcal{C} \subset \mathbb{P}T(Y)$$

$$\varphi_*\mathcal{D}|_U = \mathcal{D}|_V \text{ tautologically.}$$

Prove that  $\varphi = \Phi|_U$  for some  $\Phi \in \text{Aut}(Y)$  to derive a contradiction!

## Varieties of distinguished tangents

$\mathcal{N}$  = irr. comp. of Chow space of curves on  $X$   
passing through  $x \in X$

$\mathcal{N}' \subset \mathcal{N}$  subset smooth of curves smooth at  $x$

$\mathcal{N}' = N^1 \cup \dots \cup N^\ell$  decomposition in terms of  
geometric genus

$\tau : N^j \rightarrow \mathbb{P}T_x(X)$  tangent map

$N^j = M_1^j \cup \dots \cup M_k^j$   $\tau$ -stratification

### **Definition.**

*An irreducible subvariety  $\mathcal{D} \subset \mathbb{P}T_x(X)$  is called  
a variety of distinguished tangents (VMRT) if  
 $\mathcal{D} = \overline{\tau(M_i^j)}$  for some choice of  $\mathcal{N}$ ,  $N^j$  and  
 $M_i^j$ .*

# Varieties of distinguished tangents

## *Properties*

- (i) Given an irreducible smooth projective variety  $X$  and  $x \in X$ , there are only countably many varieties of distinguished tangent in  $\mathbb{P}T_x(X)$ .
- (ii) Let  $\mathcal{D} \subset \mathbb{P}T_x(X)$  be a variety of distinguished tangents associated to some choice of  $\mathcal{N}$ ,  $N^j$  and  $M_i^j$ . Then for any tangent vector  $v$  to  $\mathcal{D}$ , we can find a family of curves  $\{l_t, t \in \Delta\}$  belonging to  $\mathcal{N}$  smooth at  $x$  so that the derivative of the tangent directions  $\mathbb{P}T_y(l_t) \in \mathbb{P}T_x(X)$  at  $t = 0$  is  $v$ .
- (iii) Suppose a connected Lie group  $P$  acts on  $X$  fixing  $x$ . Then any variety of distinguished tangents in  $\mathbb{P}T_x(X)$  is invariant under the isotropy action of  $P$  on  $\mathbb{P}T_x(X)$ .



**Theorem. (Hwang-Mok, Invent. 2005)**

$G$  simple Lie group over  $\mathbb{C}$ ,  $\mathfrak{g}$  = Lie algebra

$P \subset G$  maximal parabolic subgroup

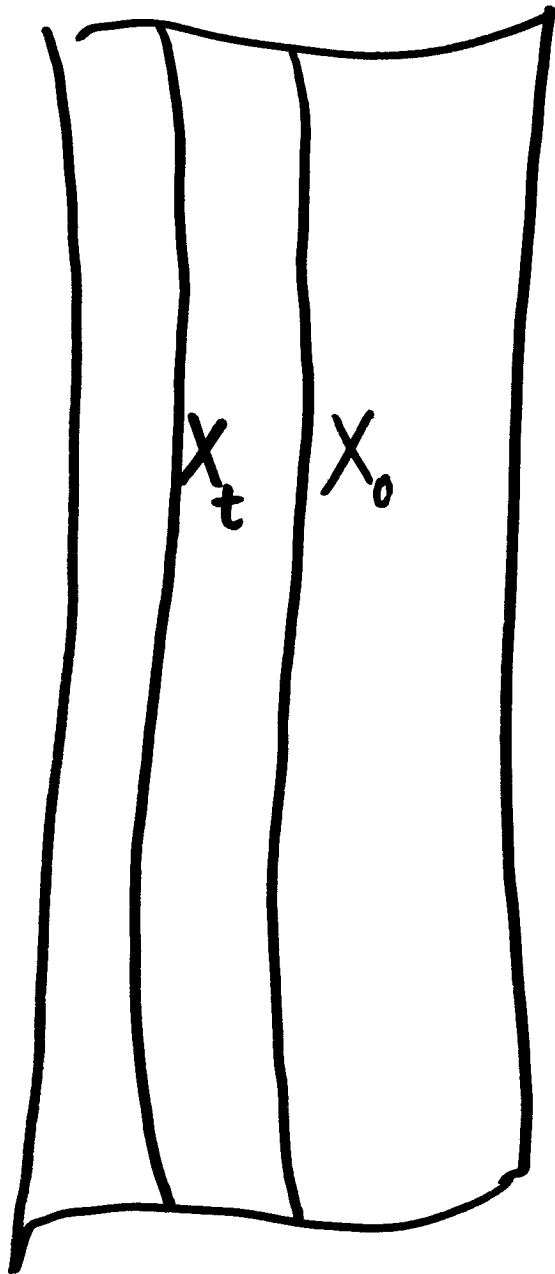
$S$  = rational homogeneous of type  $(G; \alpha)$

$\pi : \mathcal{X} \rightarrow \Delta = \{t \in \mathbb{C} : |t| < 1\}$  regular family  
such that

- (i)  $X_t := \pi^{-1}(t) \cong S$  for  $t \neq 0$  and
- (ii)  $X_0 := \pi^{-1}(0)$  is Kähler.

Then,

$$X_0 \cong S .$$



$\mathcal{X}$

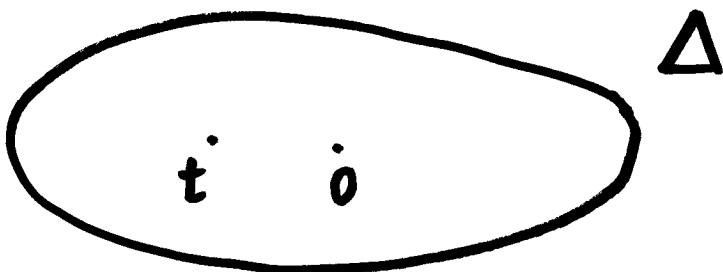
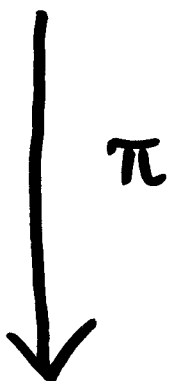
$$S = G/P$$

$G$  simple

$P$  maximal parabolic

$$X_t \cong S, \forall t \neq 0$$

Q.  $X_0 \stackrel{?}{\cong} S$



# Deformation rigidity in the Kähler case

## *Scheme*

- (1)  $S$  Hermitian symmetric  
[Hwang-Mok, Invent. Math 1998]
- (2)  $S$  of type  $(G, \alpha)$ ,  $\alpha$  a long simple root  
[Hwang, Crelle 1997] for the contact case  
[Hwang-Mok, Ann. ENS 2002] in general
- (3)  $S$  of type  $(F_4, \alpha_1)$   
[Hwang-Mok, Springer-Verlag 2004]
- (4)  $S$  of type  $(C_n, \alpha_k)$ ,  $1 < k < n$ ; or  $(F_4, \alpha_2)$   
[Hwang-Mok, Invent. Math 2005]

# Deformation rigidity in the Kähler case

## *Methods*

- (1) Distribution spanned by VMRT  
Integrability
- (2) Differential systems generated by distributions spanned by VMRT
- (3) Methods of (2)
- (4) Holomorphic vector fields on uniruled projective manifolds.  
Uses also conditions on integrability of (1).

## Distributions Spanned by MRT

$X$  uniruled,

$\mathcal{K}$ : component of Chow space of minimal rational curves

$\mathcal{C}_x$ : variety of mrt;

$\mathcal{C}_x \subset \mathbb{P}T_x(X)$ ;  $\tilde{\mathcal{C}}_x \subset T_x(X)$ ;

$W_x = \text{Span}(\tilde{\mathcal{C}}_x) \subset T_x(X)$ .

Assume  $W \neq T(X)$ .

Q. Is  $W$  integrable?

$\text{Pic}(X) = 1 \Rightarrow W$  not integrable

*Projective-geometric properties of  $\mathcal{C}_x$*

$\Rightarrow W$  integrable

For  $\mathcal{C}$  on  $X_0$ ,  $W = T(X_0)$ , i.e.  $\mathcal{C}_x$  lin. nondeg.

## Integrability of Distributions

Proposition.

$\Omega \subset \mathbb{C}^n$ ,  $W \subset T_\Omega$  hol. distribution. Then,  $W$  is integrable iff

- (\*) Given  $x \in \Omega$ ,  $\exists$  hol. vector fields  $\alpha_j, \beta_j$  def. on a nbd of  $x$  s.t.
  - (i)  $[\alpha_j, \beta_j](x) \in W_x$ .
  - (ii)  $\text{Span}\{\alpha_j \wedge \beta_j\} = \Lambda^2 W_x$ .

## Verification of Integrability

$C \subset X_0$  be a smooth standard mrc.

$$T_{X_0}|_C \cong \mathcal{O}(2) \oplus [\mathcal{O}(1)]^p \oplus \mathcal{O}^q .$$

For  $x \in C$ ;  $T_x(C) \cong \mathbb{C}\alpha_x$ . Define

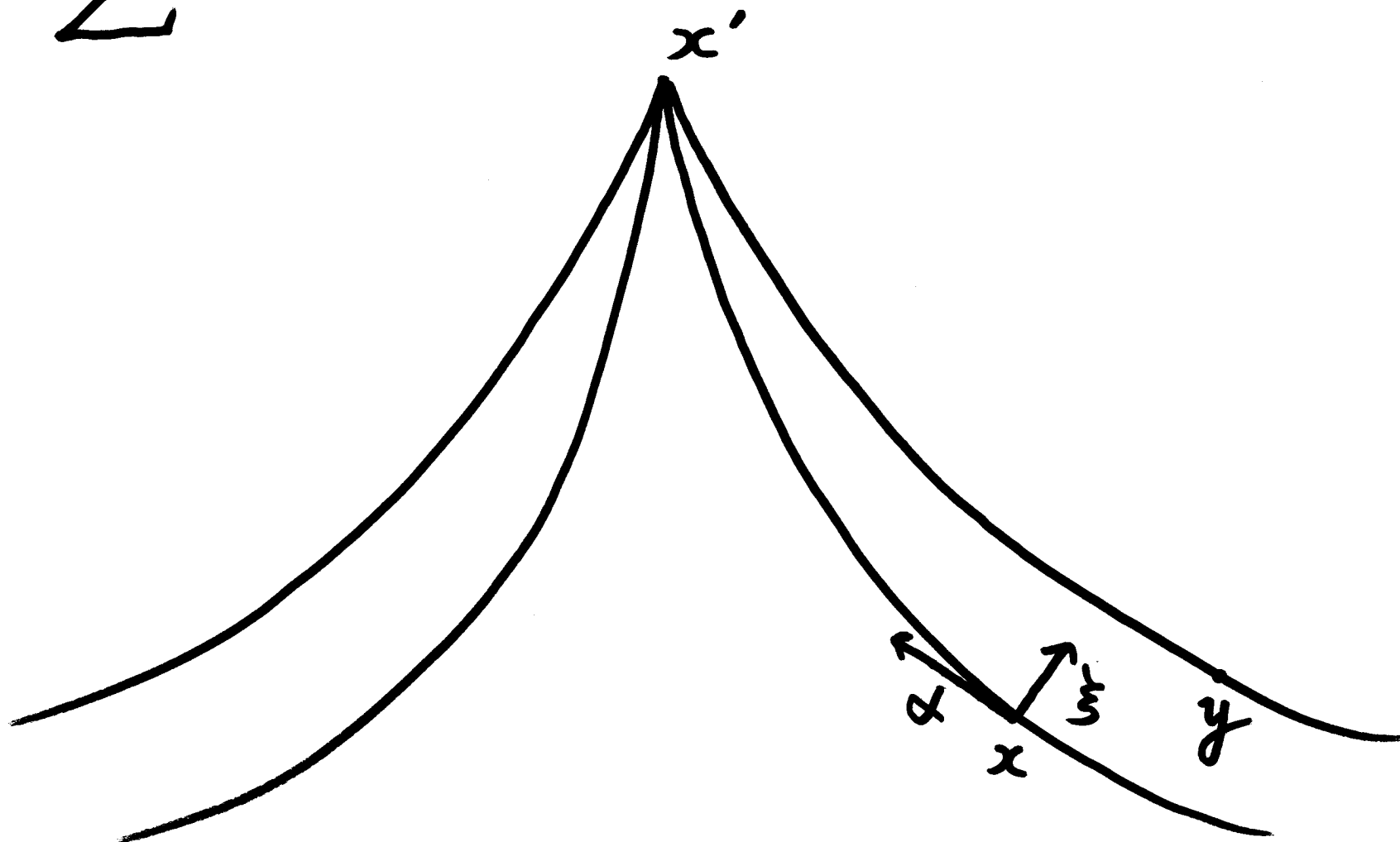
$$P_{\alpha_x} = (\mathcal{O}(2) \oplus [\mathcal{O}(1)]^p)_x .$$

### Proposition

$C \subset X_0$  standard mrc;  $x \in C$ .  $\xi_x \in P_{\alpha_x}$   
s.t.  $(\alpha_x, \xi_x)$  linearly independent. Then, there  
exists a loc. smooth complex-analytic surface  
 $\Sigma$  at  $x$  such that

- (i)  $T_x(\Sigma) = \mathbb{C}\alpha_x + \mathbb{C}\xi_x$ ;
- (ii) at every  $y \in \Sigma$  near  $x$ ;

$$T_y(\Sigma) \subset W_y .$$

$\Sigma$ 

$$T_x(\Sigma) = \mathbb{C}\alpha + \mathbb{C}\xi$$



**Proposition.**

$\mathcal{C}_x \subset \mathbb{P}W_x$  VMRT at generic  $x$

$\mathcal{T}_x \subset \mathbb{P}(\wedge^2 W_x)$  variety of tangents.

Then,

$\mathcal{T}_x \subset \mathbb{P}(\wedge^2 W_x)$  lin. nondeg.

$\Rightarrow W$  integrable.

**Proposition.**  $\mathcal{T}_x \subset \mathbb{P}(\wedge^2 W_x)$  is linearly non-degenerate if

$\dim \mathcal{C}_x \geq \text{codim } \mathcal{C}_x \text{ in } \mathbb{P}W_x$  ,

$\mathcal{C}_x \subset \mathbb{P}W_x$  is smooth .

## Differential system

$$0 \neq D_1 \subsetneq D_2 \subsetneq \cdots \subsetneq D_m \subset T_U$$

filtration of  $X$  by hol. distributions.

## Weak derived system $(X, D)$

$D^1 = D$  , meromorphic distribution

$$D^k = D^{k-1} + [D, D^{k-1}].$$

- On a Fano manifold  $X$ ,  $b_2(X) = 1$ ,  $D^m = T_X$  for some  $m$ .

## Symbol algebra of a weak derived system:

$$\mathfrak{s}(X, D) := D^1 \oplus D^2/D^1 \oplus \cdots \oplus D^m/D^{m-1}$$

- On a rational homogeneous space  $S = G/P$ ,  $b_2(S) = 1$ , with  $D = \min.$  nontrivial  $G$ -inv. hol. distribution,

$$\mathfrak{n}^+ := \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_m \cong \mathfrak{s}(S, D).$$

## Serre relations

$\mathfrak{g}$  simple Lie algebra over  $\mathbb{C}$

$\Sigma = \{\alpha_1, \dots, \alpha_\ell\}$  system of simple roots

$n(i, j)$  = entries of Cartan matrix

Then,  $\mathfrak{g}$  is the universal Lie algebra generated by  $\{x_i, y_i, h_i : 1 \leq i \leq \ell\}$  subject to the identities

- $[h_i, h_j] = 0$
- $[x_i, y_i] = h_i, [x_i, y_j] = 0$  if  $i \neq j$
- $[h_i, x_j] = n(i, j)x_j, [h_i, y_j] = -n(i, j)y_j$
- $ad(x_i)^{-n(i, j)+1}(x_j) = 0$  if  $i \neq j$
- $ad(y_i)^{-n(i, j)+1}(y_j) = 0$  if  $i \neq j$

## Objective

For the regular family  $\pi : \mathfrak{X} \rightarrow \Delta$  consider  $D \subset T_{X_0}$  spanned by VMRTs. Show that  $\mathfrak{s}(X_0, D) \cong \mathfrak{n}^+ = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_m$  for the model  $S = G/P$ .

## Serre relations for $\mathfrak{n}^+$

Write  $\mathfrak{n}^+ \subset \mathfrak{g}$  subalgebra generated by  $\{x_1, x_2, \dots, x_\ell\}$ . Then,  $\mathfrak{n}^+$  is the universal Lie algebra generated by  $\{x_1, \dots, x_\ell\}$  subject to

$$ad(x_i)^{-n(i,j)+1}(x_j) = 0.$$

Note that

- When  $\alpha_i$  is a long simple root,

$$n(i, j) = \frac{2(\alpha_i, \alpha_j)}{\|\alpha_i\|^2} = 0 \text{ or } -1.$$

For us the crucial relations are

$$[x_i, [x_i, x_j]] = 0 \text{ if } n(i, j) \neq 0.$$

*Concluding argument:*

$\mathfrak{s}(X_0, D)$  is a quotient of the universal Lie algebra  $\mathbf{U}$  gen. by  $\{x_1, \dots, x_\ell\}$  subject to

$$ad(x_j)^{-n(i,j)+1}(x_i) = 0.$$

By Serre relations,

$$\mathbf{U} \cong \mathfrak{n}^+, \quad \mathfrak{s}(X_0, D) \cong \mathfrak{n}^+ / J.$$

If  $J \neq 0$ , the weak derived system  $(X, D)$  would terminate at  $D^m$ ,  $\dim D^m < n$ , giving an *integrable* distribution  $W = D^m$  containing VMRTs, which contradicts with  $b_2(X_0) = 1$ .

□

## Conjecture 1

$X$  Fano,  $b_2(X) = 1$

$x \in X$  generic point

$Z \in \Gamma(X, T_X)$ .

Then,

$$\mathrm{ord}_x(Z) \geq 3 \Rightarrow Z \equiv 0 .$$

---

## Conjecture 2

$X$  Fano,  $b_2(X) = 1$ ,  $\dim_{\mathbb{C}} X = n$

$\Rightarrow \dim_{\mathbb{C}}(\mathrm{Aut}(X)) \leq n^2 + 2n;$

$= n^2 + 2n \Leftrightarrow X \cong \mathbb{P}^n.$

Remark:

(1) For  $\Sigma_k = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(k))$ , the  $k$ -th Hirzebruch surface,

$$\dim(\mathrm{Aut}(\Sigma_k)) > \dim \Gamma(\mathbb{P}^1, \mathcal{O}(k)) = k + 1.$$

Bounds fail in general for projective uniruled projective manifolds.

(2) If  $\exists \mathcal{K}$  on  $X$  such that  $\dim \mathcal{C}_x = 0$ , Hwang shows that there are no hol. v.f. vanishing at a generic point  $x \in X$ . In that case,  $\dim(\mathrm{Aut}(X)) \leq n$ .

(3)

$$\begin{aligned} & \dim\{Z \in \Gamma(X, T_X) : \mathrm{ord}_x(Z) \leq 2\} \\ & \leq \frac{n(n+1)(n+2)}{2} \cong \frac{n^3}{2} . \end{aligned}$$

## Theorem 1 (Hwang-Mok)

$X$  projective uniruled manifold

$\mathcal{K}$  = minimal rational component

$x \in X$  generic point

$\mathcal{C}_x \subset \mathbb{P}T_x(X)$ , VMRT at  $x$ ,  $\dim \mathcal{C}_x = p > 0$

Assume  $\mathcal{C}_x \subset \mathbb{P}T_x(X)$

*nonsingular, irreducible,*

*linearly non-degenerate.*

Then,

$$\boxed{Z \in \Gamma(X, T_X) \text{ , } \text{ord}_x(Z) \geq 3 \Rightarrow Z \equiv 0 \text{ .}}$$



## Theorem 2

Assume  $\mathcal{C}_x \subset \mathbb{P}T_x(X)$ ,  $\dim X = n$

*nonsingular, irreducible,*

*linearly non-degenerate,*

*linearly normal.*

Then,

$$\begin{aligned} \dim(\operatorname{Aut}(X)) &\leq n^2 + 2n \\ &= n^2 + 2n \Leftrightarrow X \cong \mathbb{P}^n \end{aligned}$$

## Corollary

$X$  Fano,  $b_2(X) = 1$ ,  $\dim X = n$

$\mathcal{O}(1)$  positive generator of  $\text{Pic}(X) \cong \mathbb{Z}$ .

Assume  $\mathcal{O}(1)$  very ample.

$c_1(X) > \frac{n+1}{2}$  ,  $x \in X$  generic. Then,

$$0 \neq Z \in \Gamma(X, T_X) \Rightarrow \text{ord}_x(Z) \leq 3 ;$$

---

$$c_1(X) > \frac{2(n+2)}{3} , \quad X \not\cong \mathbb{P}^n$$

$$\Rightarrow \dim(\text{Aut}(X)) < n^2 + 2n .$$

## Ideas of Proof

(1) A holomorphic vector field  $Z$  vanishing at  $x \in X$  to the order  $\geq 2$  gives by power series expansion

$$Z = \sum_{i,j,k} A_{ij}^k z^i z^j \frac{\partial}{\partial z_k} + \text{higher order terms}$$

$A \in S^2 T_x^* \otimes T_x$  with the property that

(†) for any  $\alpha \in \tilde{\mathcal{C}}_x$ , for

$$A_\alpha := \sum A_{\alpha j}^k dz^j \otimes \frac{\partial}{\partial z_k} \in \text{End}(T_x) ,$$

$A_\alpha|_{\tilde{\mathcal{C}}_x}$  is tangent to  $\tilde{\mathcal{C}}_x$ .

Here we identify vector fields on  $T_x$  with endomorphisms.

(2) Taking  $\alpha, \beta \in \tilde{\mathcal{C}}_x$ ;  $\alpha, \beta \neq 0$

$$A_{\alpha\beta} = A_{\alpha}(\beta) = A_{\beta}(\alpha)$$

is tangent to  $\tilde{\mathcal{C}}_x$  both at  $\alpha$  and  $\beta$ , i.e.

$$A_{\alpha\beta} \in P_{\alpha} \cap P_{\beta} .$$

(3) The symmetry property on  $A$  forces (by letting  $\beta \rightarrow \alpha$ ) that  $A_{\alpha\alpha} \in \text{Ker}(\sigma_{\alpha})$  for the second fundamental form  $\sigma_{\alpha}$  on  $\tilde{\mathcal{C}}_x - \{0\}$ . If  $\mathcal{C}_x \subsetneq \mathbb{P}T_x$  is smooth and non-linear,  $\text{Ker}(\sigma_{\alpha}) = \mathbb{C}\alpha$  (Zak's Thm.), and

$$\overline{A} \in \Gamma(\mathcal{C}_x; \text{Hom}(L^2, L)) = \Gamma(\mathcal{C}_x, L^*)$$

for the tautological line bundle  $L$ .

(4) We can get bounds for the dimension of  $Z$  with  $\text{ord}_x(Z) \geq 2$  if we know that

$$(*) \quad \overline{A} = 0 \Rightarrow A = 0 .$$

Moreover, the latter is enough to prove the nonexistence of nontrivial  $Z$  with  $\text{ord}_x(Z) \geq 3$ . If  $\text{ord}_x(Z) \geq 3$  start with

$$A \in S^3 T_x^* \otimes T_x \quad \text{such that}$$

$$A_{\alpha\beta\gamma} \in P_\alpha \cap P_\beta \cap P_\gamma \text{ for } \alpha, \beta, \gamma \in \tilde{\mathcal{C}}_x - \{0\}.$$

Then, we get

$$A_{\alpha\alpha\gamma} \in P_\alpha \cap P_\gamma \text{ for any } \alpha, \gamma \in \tilde{\mathcal{C}}_x - \{0\}$$

$$\Rightarrow A_{\alpha\alpha\gamma} = 0$$

$$\Rightarrow A \equiv 0 \text{ if } (*) \text{ holds.}$$

# Prolongation of infinitesimal automorphisms of projective varieties

$V$  complex vector space,  $\dim V = n$

$\mathfrak{g} \subset \text{End}(V)$  Lie subalgebra

$$\mathfrak{g}^{(k)} \subset S^{k+1}V^* \otimes V, \sigma \in \mathfrak{g}^{(k)} \Leftrightarrow$$

$\forall v_1, \dots, v_k \in V$ , writing

$$\sigma_{v_1, \dots, v_k}(v) = \sigma(v; v_1, \dots, v_k),$$

we have  $\sigma_{v_1, \dots, v_k} \in \mathfrak{g}$ .

$\mathfrak{g}^{(k)}$  =  $k$ -th prolongation of  $\mathfrak{g}$ ;  $\mathfrak{g}^{(0)} = \mathfrak{g}$ .

$$\mathfrak{g}^{(k)} = 0 \Rightarrow \mathfrak{g}^{(k+1)} = 0.$$

$$\mathfrak{h} \subset \mathfrak{g} \Rightarrow \mathfrak{h}^{(k)} \subset \mathfrak{g}^{(k)}.$$

$$[\mathfrak{g}^{(k)}; \mathfrak{g}^{(\ell)}] \subset \mathfrak{g}^{(k+\ell)}.$$

$Y \subset \mathbb{P}V$  projective subvariety,  $\dim Y = p$

$\tilde{Y} \subset V$  affine cone of  $Y$ . Define

$$\operatorname{aut}(Y) = \{A \in \operatorname{End}(V) : \exp(tA)(\tilde{Y}) \subset \tilde{Y}, t \in \mathbb{C}\}.$$

$X$  complex manifold,  $\dim X = n$

$\mathcal{C} \subset \mathbb{P}T(X)$  projective and flat over  $X$

$\mathcal{C}_x \subset \mathbb{P}T_x(X)$  irreducible, reduced

$\mathfrak{f} :=$  germs of  $\mathcal{C}$ -preserving holomorphic vector fields at  $x$

For  $\ell \geq -1$ , let

$$\mathfrak{f}^\ell = \{Z \in \mathfrak{f} : \operatorname{ord}_x(Z) \geq \ell + 1\} .$$

**Proposition.** *For  $k \geq 0$ , identify  $\mathfrak{f}^k/\mathfrak{f}^{k+1} \subset S^{k+1}T_x^*(X) \otimes T_x(X)$  by taking leading terms of Taylor expansions of the vector fields at  $x$ . Then*

$$\mathfrak{f}^k/\mathfrak{f}^{k+1} \subset \text{aut}(\mathcal{C}_x)^{(k)} ,$$

*the  $k$ -th prolongation of the Lie algebra of infinitesimal automorphisms of the projective variety  $\mathcal{C}_x$ .*

*Proof.*  $Z$  hol. vector field at  $x$ , defined on  $U \subset X$ ,  $\text{ord}_x Z \geq k + 1$

$$j_x^{j+1}(Z) \in S^{k+1}T_x^*(X) \otimes T_x(X)$$

$Z$  can be lifted canonically to  $Z'$  on  $\mathbb{P}T(U)$ :  
 $Z = \text{inf. generator of } \{f_t\}$ , germs of biholomorphism at  $x$

$f_t : U \rightarrow X$  gives  $F_t : T(U) \rightarrow T(X)$ ,  
 where  $F_t(x, \eta) = (f_t(x), df_t(x)(\eta))$ .



$$\eta \in T_x(X), \text{ ord}_\eta(Z') \geq k,$$

$$j_\eta^k \in S^k T_\eta^*(T(X)) \otimes T_\eta(T(X)) .$$

$$\text{For } k = 0, j_\eta^0 \in T_\eta(T(X)).$$

$$\text{For } k \geq 1, Z'|_{T_x(X)} \equiv 0,$$

$$j_\eta^k \in S^k N_\eta^* \otimes T_\eta(T(X)) ,$$

where  $N$  = normal bundle of  $T_x(X)$  in  $T(X)$ ,  
 $N \cong \pi^*T(X)$ . Since  $\text{ord}_x(Z) \geq k + 1$ ,  
 $\pi_*(j_\eta^k(v_1, \dots, v_k)) = 0$  for  $v_1, \dots, v_k \in T_x(X)$ .  
Hence,

$$j_\eta^k(Z') \in S^k N_\eta^* \otimes T_\eta(T_x(X)) \cong S^k T_x^*(X) \otimes T_x(X) .$$

Straightforward calculations give

$$j_\eta^k(Z')(v_1, \dots, v_k) = j_x^{k+1}(Z)(v, v_1, \dots, v_k)$$

where we write  $\eta$  and  $v$  for the same thing,  $\eta$   
when it is consider a point on the fiber  $T_x(X)$ ,  
 $v$  when it is considered a tangent vector at  $x$ .

## Leading Terms of Hol. Vector Fields

$0 \in \Omega \subset \mathbb{C}^n$ ;  $Z = \text{hol. vector field on } \Omega$

$$\text{ord}_0(Z) = p \geq 0$$

$$Z = \sum A_{i_1 \dots i_p}^k z^{i_1} z^{i_2} \dots z^{i_p} \frac{\partial}{\partial z_k} + O(|z|^{p+1})$$

Principal term  $\rho(Z)$  at  $o$ :

$$\rho(Z) = A \in S^p T_o^* \otimes T_o .$$

**Lemma.**  $Z, W = \text{germs of hol. vector fields at } o$ ,  $\text{ord}_o(Z) = p$ ,  $\text{ord}_o(W) = q$ . Then  $\text{ord}_o[Z, W] \geq p + q - 1$ . Suppose  $\text{ord}_o[Z, W] = p + q - 1$ ,  $p + q \geq 1$ . Then,

$$\rho([Z, W]) = \text{bilinear expression in } \rho(Z), \rho(W).$$

For  $p = 1$ , so that  $\rho(Z) \in \text{End}(T_o)$ ,

$$\rho([Z, W]) = \rho(Z)(\rho(W)) .$$

# Symbolic Lie algebra of leading terms

*Hermitian symmetric case*

$$\begin{aligned}\mathfrak{g} &= \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_1 \\ &= \mathfrak{m}^- \oplus \mathfrak{k}^{\mathbb{C}} \oplus \mathfrak{m}^+ .\end{aligned}$$

$$[\mathfrak{m}^-, \mathfrak{m}^-] = [\mathfrak{m}^+, \mathfrak{m}^+] = 0$$

$$\mathfrak{m}^- = \{Z \in \Gamma(S, T_S) : \text{ord}_o Z \geq 2\} .$$

All Lie brackets determined by principal terms:

$$[k, m^+], [k, m^-], [k, k'], [m^-, m^+] .$$

*Deformation Rigidity*

Given  $\pi : \mathfrak{X} \rightarrow \Delta$

$$\mathfrak{g}^t = \text{aut}(X_t) \text{ for } t \neq 0$$

$$\mathfrak{g}^0 = \text{Limiting Lie algebra} .$$

More precisely,

$\mathcal{T}$  = relative tangent bundle

$\pi_* \mathcal{T} = \mathcal{O}(V)$ ,  $V$  hol. vector bundle on  $\Delta$

$\mathfrak{g}^t := V_t$ , Lie alg. structure induced from  $\mathcal{T}$ .

Assume stability of  $\mathcal{C}_{\sigma(t)}$  as  $t \mapsto 0$ . Define

$$J_t^{(k)} = \{Z \in \mathfrak{g}^t : \text{ord}_{\sigma(t)}(Z) \geq k\}$$

$$I_t = \{Z \in \mathfrak{g}^t : Z(\sigma(t)) = 0, A_Z \in \mathbb{C} \cdot id\} .$$

For  $t \neq 0$ , any  $Z \in E_t$ ,  $A_Z \neq 0$  determines a  $\mathbb{C}^*$ -action. Since  $\mathcal{C}_{\sigma(0)} \subset \mathbb{P}T_{\sigma(0)}(X_0)$  is conjugate to  $\mathcal{C}_o \subset \mathbb{P}T_o(S)$

$$\dim E_0^{(2)} \leq n, E_0^{(k)} = 0 \text{ for } k \geq 3$$

$$\dim I_0 \geq n + 1 \text{ (upper semicontinuity)}$$

$$\dim I_0 \leq n + 1 \text{ (VMRT)} .$$

Therefore,  $\dim I_0 = n + 1$  and  $\exists$  a hol. vector bundle  $I$  of rank  $n + 1$ ,  $\mathcal{I} = \mathcal{O}(I)$ .

$\exists Z \in I_0$  such that  $A_Z \not\equiv 0$ , and we have a hol. family of  $\mathbb{C}^*$ -actions  $T_t$ .

$T_t = \{e^{\lambda E_t}\}$ , period  $2\pi i$ .

$$\mathfrak{g}_i^t \stackrel{\text{def}}{=} \{Z \in \mathfrak{g}^t : [E_t, Z] = iZ\}$$

$$\mathfrak{g}^t = \mathfrak{g}_{-1}^t \oplus \mathfrak{g}_0^t \oplus \mathfrak{g}_1^t .$$

For  $t \neq 0$ ,

$$\begin{aligned} \mathfrak{g}_0^t \cong \{A \in \text{End}_{\sigma(t)}(T_{\sigma(t)}) : A|_{\tilde{\mathcal{C}}_{\sigma(t)}} \\ \text{is tangent to } \tilde{\mathcal{C}}_{\sigma(t)}\} . \end{aligned}$$

Dimension count forces the same for  $t = 0$ .

$[\mathfrak{g}_1^0, \mathfrak{g}_1^0] = [\mathfrak{g}_{-1}^0, \mathfrak{g}_{-1}^0] = 0$ . Lie algebra structure on  $\mathfrak{g}^0$  completely determined by leading terms.

Hence  $X_0 = G/P \cong S$ .

Uniqueness of tautological foliation:

$\rho : \mathcal{U} \rightarrow \mathcal{K}$ ,  $\mu : \mathcal{U} \rightarrow X$  universal family

$\pi : \mathcal{C} \rightarrow X$  family of VMRTs

$\mathcal{F} = 1 - \dim.$  multi-foliation on  $\mathcal{C}$

defined by *tautological* liftings  $\hat{C}$  of  $C$ ,

$\mathcal{F} := \textit{tautological foliation}$

For  $C$  standard  $T_X|_C \cong \mathcal{O}(2) \oplus \mathcal{O}(1)^p \oplus \mathcal{O}^q$ .

Write  $T_x C = \mathbb{C}\alpha$ ,  $P_\alpha = (\mathcal{O}(2) \oplus \mathcal{O}(1)^p)_x$ .

$$\mathcal{P}_{[\alpha]} = \{\eta \in T_{[\alpha]}(\mathcal{C}) : d\pi(\eta) \in P_\alpha\}.$$

As  $T_{[\alpha]}(\mathcal{C}_x) \cong P_\alpha / \mathbb{C}\alpha$ ,  $\mathcal{P}$  is defined by  $\mathcal{C}$ .

$\mathcal{W}$  = distribution on  $\mathcal{K}$  defined by

$$\mathcal{W}_{[C]} = \Gamma(C, \mathcal{O}(1)^p) \subset \Gamma(C, N_{C|X}) \cong T_{[C]}(\mathcal{K}).$$

We have

$$\mathcal{P} = \rho^{-1}\mathcal{W} \ , \quad \mathcal{F} = \rho^{-1}(0) \Rightarrow [\mathcal{F}, \mathcal{P}] \subset \mathcal{P} \ .$$

## Proposition

Assume Gauss map on a generic VMRT  $\mathcal{C}_x$  to be injective at a generic  $[\alpha] \in \mathcal{C}_x$ . Then,  
 $[v, \mathcal{P}] \subset \mathcal{P} \Rightarrow v \in \mathcal{F}$ , i.e.,

$$\text{Cauchy Char. } (\mathcal{P}) = \mathcal{F}.$$

## Corollary

Assume  $U \subset X$ ,  $U' \subset X'$ ,  $f : U \xrightarrow{\cong} U'$ ,  
 $[df]^* \mathcal{C}' = \mathcal{C}|_U$ . Then,

$f$  maps open pieces of mrc on  $X$  to  
open pieces of mrc on  $X$ .

*Proof.* Write  $f^* \mathcal{C}'$  for  $[df]^* \mathcal{C}'$ , etc. Then,  $f^* \mathcal{C}' = \mathcal{C}|_U$  implies  $f^* \mathcal{P}' = \mathcal{P}|_U$ . Thus,

$$\begin{aligned} [f^* \mathcal{F}', \mathcal{P}] &= [f^* \mathcal{F}', f^* \mathcal{P}'] \\ &= f^* [\mathcal{F}', \mathcal{P}'] \subset f^* \mathcal{P}' = \mathcal{P}. \end{aligned}$$

Proposition implies  $f^* \mathcal{F}' = \mathcal{F}$ .  $\square$

**Theorem.** (Hwang-Mok, JMPA 2001, AJM 2004)

$X$  projective uniruled,  $b_2(X) = 1$ ,

$\mathcal{K}$  minimal rational component on  $X$ .

*Assume*

- (†) for a general point  $x \in X$ ,  $\dim \mathcal{C}_x = \phi > 0$   
and Gauss map on  $\mathcal{C}_x$  generically finite.
- (‡) more generally if  $\mathcal{C}_x$  is *non-linear*, i.e., not  
a finite union of projective linear subspaces

Then,

$(X, \mathcal{K})$  has the Cartan-Fubini  
Extension Property

Examples:

- (1)  $X = G/P \neq \mathbb{P}^N$ ,  $G$  simple,  $P$  maximal  
parabolic.
- (2)  $X \subset \mathbb{P}^N$  smooth complete intersection, Fano  
with  $\dim(X) \geq 3$ ,  $c_1(X) \geq 3$ .



Ideas of proof of CF *under the assumption* (†)

(1)  $f : (X, \mathcal{K}) \rightarrow (X', \mathcal{K}')$  gen. finite surj. map,  $f^*\mathcal{C}' = \mathcal{C}$  (i.e., VMRT-preserving).

Uniqueness of tautological foliation

$\Rightarrow f$  preserves tautological foliation

(2) Analytic continuation along mrc, obtained by passing to moduli spaces of mrc:

$f : X \rightarrow X'$  induces  $f^\# : \mathcal{V} \rightarrow \mathcal{K}'$  on some open subset  $\mathcal{V} \subset \mathcal{K}$ .

Now, interpret a point  $x \in X$  as the intersection of  $C$ ,  $[C] \in \mathcal{K}_x$ , to do analytic continuation.

(3)  $(X, \mathcal{K})$  is rationally connected, Analytic cont. along chains of mrc defines a multi-valued map  $F : X \rightarrow X'$ .

(4)  $b_2(X) = 1 \Rightarrow$  any mrc  $C$  intersects any hypersurface  $H \subset X$ .

Analytic cont. along  $C$  forces univalence of  $F$ ,  
*viz.*,  $F$  is a birational map preserving VMRTs

(5) birational + VMRT-preserving  
 $\Rightarrow$  biholomorphic

(a) VMRT-preserving  
 $\Rightarrow R(F) = \emptyset$ ,  $R$  : ramification divisor

(b) Embed  $X$  to  $\mathbb{P}^N$  by  $K_X^{-\ell}$ ,  $X$  being Fano,  
 etc.  $R(F) = \emptyset$  gives hol. extension of  $F^*s$   
 for sections  $s$  of  $K_X^{-\ell}$ ,

$F : X \rightarrow X'$  is the restriction of some projective linear isomorphism of  $\mathbb{P}^N$ .

## Local rigidity of holomorphic maps

$\pi : \mathfrak{X} \rightarrow \Delta$  regular family

$X_t$  Fano,  $\text{Pic}(X_t) \cong \mathbb{Z}$

$X_0$  carries a rational curve  $C$ , with *trivial* normal bundle

$X'$  projective manifold

$f_t : X' \rightarrow X_t$  holomorphic family of generically finite surjective holomorphic maps. Then,

There exist  $\varphi_t : X_0 \xrightarrow{\cong} X_t$   
such that  $f_t \equiv \varphi_t \circ f_0$

## Application of Cartan-Fubini

Theorem (Hwang-Mok, AJM 2004)

$X$  Fano manifold;  $b_2(X) = 1$

$\mathcal{K}$ : minimal rational component

$\mathcal{C}_x$ : VMRT of  $(X, \mathcal{K})$ ,  $x \in X$  generic

$Y$  projective manifold

$f_t : Y \rightarrow X$  one-parameter family  
of surjective finite holomorphic maps.

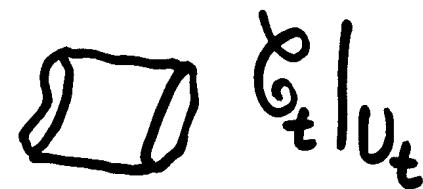
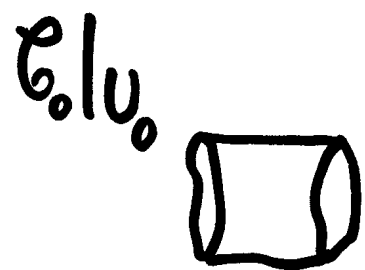
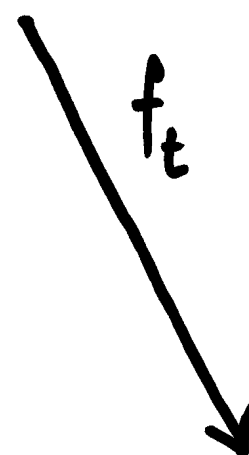
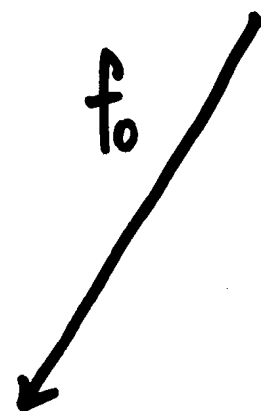
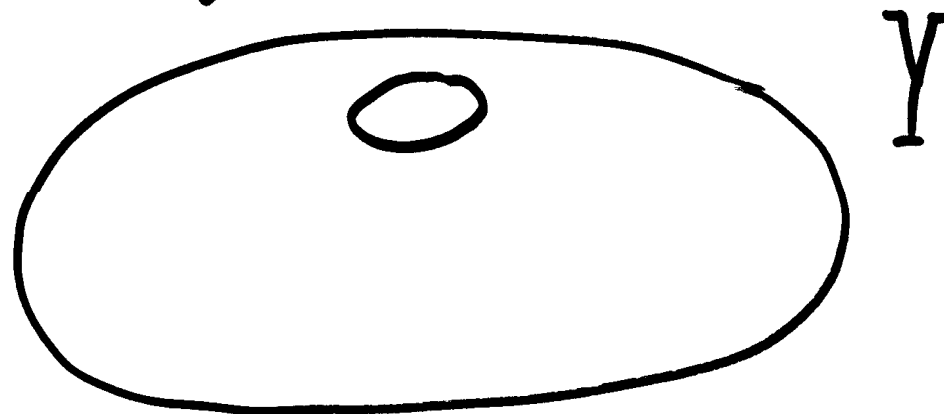
Assume  $\dim \mathcal{C}_x := p > 0$ , and  
 $\mathcal{C}_x \subset \mathbb{P}T_x(X)$  is non-linear. Then,

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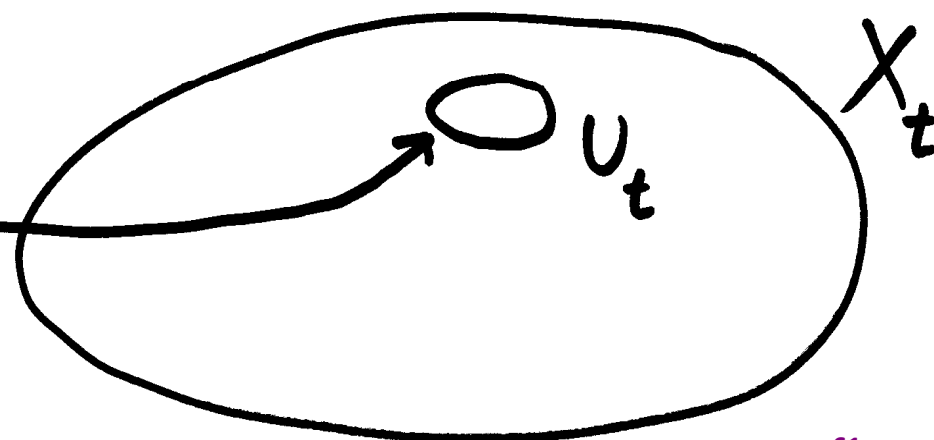
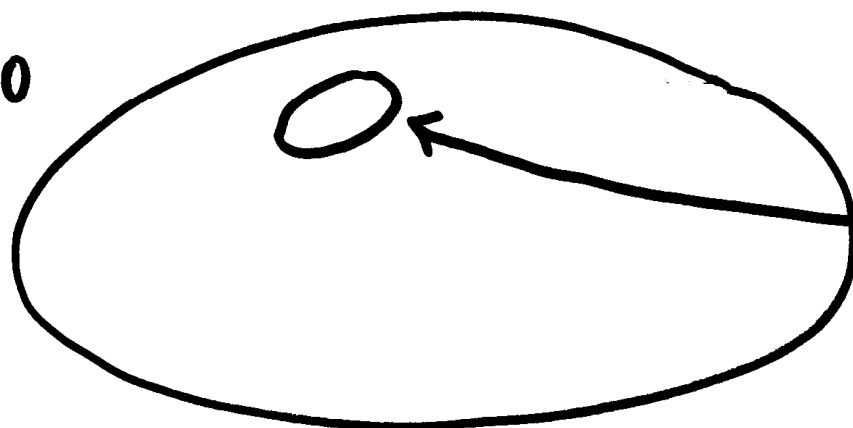
$\exists \Phi_t \in \text{Aut}(X)$  such that

$$f_t \equiv \Phi_t \circ f_0; \quad \Phi_0 = id.$$

$$f_0^*(\mathcal{C}_0|_{U_0}) = f_t^*(\mathcal{C}_t|_{U_t}) = \mathcal{D}$$



$X_0$



**Theorem (Hwang-Mok 2004, AJM).** *Local rigidity for  $f_t : Y \rightarrow X_t$  remains valid under the assumption that  $X_0$  carries a minimal component  $K_0$  whose general VMRT is non-linear.*

### New solution of Lazarsfeld Problem

$Y = G/P$   $G$  simple,  $P$  maximal parabolic

Take  $X_t = X$ ,  $f : Y \rightarrow X$ .

Assume generic  $\mathcal{C}_x \subset \mathbb{P}T_x(X)$  non-linear.

Local rigidity  $\Rightarrow$  Any holomorphic vector field  $\mathcal{Z}$  on  $Y$  descends to a holomorphic vector field  $\mathcal{W}$  on  $X$  such that  $f : Y \rightarrow X$  is equivariant w.r.t. 1-parameter groups generated by  $\mathcal{Z}$  and  $\mathcal{W}$ .

$R :=$  ramification divisor of  $f$

$B := f(R)$

Then,  $\mathcal{W}$  is tangent to  $B$ .

Hence,  $\mathcal{Z}$  is tangent to  $R$ ,

contradicting homogeneity of  $Y = G/P$ !

## Bounding degrees of holomorphic maps

$X'$  projective manifold

$$\mathcal{F}_0 = \{X \text{ Fano: } \text{Pic}(X) \cong \mathbb{Z}; \exists \text{ rat. curve } C \subset X \text{ with } \textit{trivial} \text{ normal bundle}\}$$

Then,

There exists a constant  $C(X')$  such that  
 $\forall f : X' \rightarrow X, X \in \mathcal{F}_0$   
generically finite, surjective hol. map  
 $\deg(f) \leq C(X').$

## Finiteness Theorem

Given  $X'$ , there exists at most *finitely many* pairs  $(X, f)$  of such maps  $f : X' \rightarrow X$ .

## Finiteness Theorem in 3 dimensions

$Y$  Fano manifold,  $\text{Pic}(Y) \cong \mathbb{Z}$ ,  $\dim Y = 3$ .

Then, there are at most *finitely many* projective manifolds  $X$  for which there exists a surjective holomorphic map

$$f : Y \rightarrow X .$$

*Proof.*

From sol'n to Lazarsfeld's Problem,

$$Y \cong \mathbb{P}^3 \Rightarrow X \cong \mathbb{P}^3;$$

$$Y \cong Q^3 \Rightarrow X \cong Q^3 \text{ or } \mathbb{P}^3.$$

Otherwise,  $Y$  carries a rational curve with trivial normal bundle, from Iskovskih's classification. Then,

$$X \cong \mathbb{P}^3, Q^3 \text{ or}$$

a *finite* no. of possibilities in  $\mathcal{F}_0$ .



## Webs on a Fano manifold

$$\mathcal{F}_0 = \{X \text{ Fano: } \text{Pic}(X) \cong \mathbb{Z}; \exists \text{ a rat. curve } C \subset X \text{ with } \textit{trivial} \text{ normal bundle}\}$$

$$X \in \mathcal{F}_0, C \subset X, N_{C|X} \cong \mathcal{O}^{n-1}$$

$$\mathcal{K} = \text{minimal rational component, } [C] \in \mathcal{K}.$$

$$\mu : \mathcal{U} \rightarrow X, \rho : \mathcal{U} \rightarrow \mathcal{K} \text{ universal family}$$

$$X \in \mathcal{F}_0 \Leftrightarrow \text{For } \pi : \mathcal{C} \rightarrow X \text{ of VMRTs, } \dim \mathcal{C}_x = 0 \text{ for } x \text{ generic.}$$

$$\mathcal{R} \subset \mathcal{U} \text{ ramification divisor,}$$

$$M = \mu(\mathcal{R}) \subset X \text{ branching divisor}$$

$$M := \textit{discriminantal divisor of } \mathcal{K}.$$

$L \subset X$  smallest hypersurface such that  
 $\pi : \mathcal{C} \rightarrow X$  is unramified over  $X - L - Z$   
for some  $Z \subset X$  of  $\text{codim.} \geq 2$ ,  $M \subset L$ .

$L :=$  *extended discriminantal divisor* of  $\mathcal{K}$

### Principal properties on webs

◆  $f : X' \rightarrow X$  gen. finite surj. hol. map,  $\mathcal{K}$   
web of rational curves on  $X$   
 $\Rightarrow f^{-1}\mathcal{K}$  finite union of webs of rational curves  
on  $X'$ .

◆  $f^{-1}\mathcal{K} := \mathcal{K}' = \mathcal{K}_1 \cup \dots \cup \mathcal{K}_m$   
 $L' := L_{\mathcal{K}_1} \cup \dots \cup L_{\mathcal{K}_m}$ , etc.

Then,

$$f^{-1}(L) \subset L' .$$

## Solution to the Frankel Conjecture:

**Theorem (Siu-Yau 1980).**

$(X, g)$  compact Kähler,  $Bisect(X, g) > 0$   
 $\Rightarrow X \cong \mathbb{P}^n$ .

## Solution to the Generalized Frankel Conjecture:

**Theorem (Mok 1988).**

$(X, g)$  compact Kähler,  $Bisect(X, g) \geq 0$   
 $\Rightarrow \tilde{X} \cong \mathbb{C}^m \times \text{Hermitian symmetric space of compact type}.$

*For  $X$  Fano, we have*

$X \cong \text{Hermitian symmetric space of compact type}.$

## Solution to the Harshorne Conjecture:

### **Theorem (Mori 1979).**

*$X$  projective manifold,  $T_X$  ample*

$\Rightarrow X \cong \mathbb{P}^n$ .

How about a “Generalized Hartshorne Conjecture”?

### **Conjecture (Campana-Peternell 1991).**

*$X$  Fano manifold,  $T_X$  numerically effective*

$\Rightarrow X \cong$  rational homogeneous space

Solved for  $\dim \leq 3$  independently by Campana-Peternell and Fangyuan Zheng:

Case of 3 dimensions:

$X \cong \mathbb{P}^3$  ,  $Q^3$  ,  $\mathbb{P}^1 \times \mathbb{P}^2$  ,  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$    or    $\mathbb{P}(T_{\mathbb{P}^2})$

**Theorem (Mok 2002, Trans. AMS).**

*X projective manifold*

$$b_2(X) = b_4(X) = 1,$$

$$T_X \geq 0 \text{ (numerically effective).}$$

*Suppose  $\dim \mathcal{C}_x = 1$  for  $x$  generic.*

*Then,*

$$X \cong \mathbb{P}^2, \quad Q^3 \quad \text{or} \quad K(G_2),$$

*where  $K(G_2)$  = 5-dimensional Fano contact homogeneous manifold associated to the exceptional Lie group  $G_2$ .*

**Theorem (Hwang 2004).**

*The condition  $b_4 = 1$  can be dropped.*

## Campana-Peternell 1993

Their conjecture is valid in dimension 4 except for the possible exception of a Fano manifold  $X$  of Picard number 1 with nef tangent bundle such that  $c_1(X) = 1$  (i.e. positive generator of  $\text{Pic}(X) \cong \mathbb{Z}$ ).

### Elimination of the exceptional case $c_1 = 1$

$p = 0$  implies the existence of a 1-dim (hence integrable) distribution spanned by VMRTs, contradicting  $b_2 = 1$

$p = 1$  ruled out by Mok + Hwang's improvement

$p = 2$  would contradict Miyaoka's characterization of the hyperquadric

$p = 3$  ruled out by the characterization of projective spaces of Cho-Miyaoka-Shepherd-Barron, Kebekus

**Theorem (Mok 2006, Hong-Hwang 2007).**

*Let  $S = G/P$  be a rational homogeneous manifold of Picard number 1 corresponding to a long simple root  $\alpha$ . (We say that  $S$  is of type  $(\mathfrak{g}, \alpha)$ ),  $S \not\cong \mathbb{P}^n$ .*

*Let  $X$  be a Fano manifold of Picard number 1 admitting a component  $\mathcal{K}$  of minimal rational tangents. Write*

$$\mathcal{C}_0(S) \subset \mathbb{P}T_o(S) , \quad o \in S \quad \text{reference point ;}$$

$$\mathcal{C}_x(\mathcal{K}) \subset \mathbb{P}T_x(X) , \quad x \in X \quad \text{general point}$$

*for varieties of minimal tangents. Then,*

$\begin{aligned} \mathcal{C}_x(\mathcal{K}) \subset \mathbb{P}T_o(X) & \quad \text{congruent to} \\ \mathcal{C}_0(S) \subset \mathbb{P}T_o(S) \\ \Rightarrow & \quad \boxed{X \cong S} \end{aligned}$
---

## Ideas of proof

- parallel transport along tautological liftings  $\hat{C}$  of minimal rational tangents
- behavior of second fundamental forms  $\sigma$  of  $\mathcal{C}_x \subset \mathbb{P}T_x(X)$  invariant under parallel transport, hence kernels, images, etc. are invariant.
- $\mathcal{C}_o \subset \mathbb{P}T_o(S)$  are quadratic or cubic Hermitian symmetric subspaces. If irreducible and of rank  $> 1$  the G-structure on  $\mathcal{C}_o$  is determined by second and third fundamental forms  $\sigma$  and  $\kappa$ , which determine  $\mathcal{C}_{[\alpha]}(\mathcal{C}_o)$ .
- In the reducible case transversal foliations are preserved by parallel transport.
- The special case of the second Veronese embedding of a projective space can be recovered from the surjectivity of the second fundamental form  $\sigma$ .



**Theorem (Hwang-Mok 2004, JAG).**

*X Fano manifold,  $\text{Pic}(X) \cong \mathbb{Z}$ .*

*M an irreducible component of the space of minimal rational curves.*

*$M^x \subset M$  subset of members of M passing through a general point  $x \in X$ .*

*If  $M^x$  is irreducible, and  $\dim(M^x) \geq 2$ .*

*Then,  $\text{Aut}_0(X) = \text{Aut}_0(M)$ .*

**Remarks.** Theorem fails when  $\dim(M^x) = 0, 1$ .

**Examples:**

(a)  $\dim(M^x) = 0$ . Take  $X = \text{codim} - 3$  general linear section of  $G(2, 3)$ ,  $M \cong \mathbb{P}^2$

$$\text{Aut}_0(X) \cong \mathbb{P}SL(2, \mathbb{C});$$

$$\text{Aut}_0(M) \cong \mathbb{P}SL(3, \mathbb{C}).$$

(b)  $\dim(M^x) = 1$ . Take  $X = Q_3$ ,  $M \cong \mathbb{P}^3$

$$\text{Aut}_0(X) \cong \mathbb{P}SO(5, \mathbb{C});$$

$$\text{Aut}_0(X) \cong \mathbb{P}SL(4, \mathbb{C}).$$

## Most recent results:

### Holomorphic Lagrangian fibrations (Hwang 2007)

Let  $Z$  be a projective irreducible symplectic manifold and  $\pi : Z \rightarrow X$  a surjective holomorphic map onto a projective manifold with connected and positive-dimensional fibers. Then  $X \cong \mathbb{P}^n$ .

#### Remark

*By the work of Matsushita a general fiber is an Abelian variety, the underlying subvariety of every fiber of  $f$  is Lagrangian, and  $X$  is a Fano manifold of Picard number 1. In particular,  $X$  can be studied by means of geometric structures associated to VMRTs.*

## Generalized Lazarsfeld Problem

(Lau, to appear in J. Alg. Geom.)

Resolution of the generalized Lazarsfeld Problem for surjective holomorphic maps  $\pi : G/Q \rightarrow X$ ,  $X$  smooth,  $G$  any semisimple complex Lie groups,  $Q \subset G$  any parabolic subgroup.

(Currently generalized also to semisimple  $G$ .)

## Non-equidimensional Cartan-Fubini extension (Hong-Mok 2008)

(a) Analytic continuation for germs of holomorphic maps  $f : (Z, z_0) \rightarrow (X, x_0)$  for uniruled projective manifolds equipped with VMRTs such that

$$df(\tilde{\mathcal{C}}_{Z,z}) = \tilde{\mathcal{C}}_{X,f(z)} \cap T_{f(z)}(X)$$

for  $z$  on some neighborhood  $U$  of  $z_0$ . We say that  $f$  respects VMRTs.

(b) Application of non-equidimensional Cartan Fubini to the characterization of certain holomorphic embeddings  $G/P \hookrightarrow G'/P'$  in terms of the VMRT-respecting property.

## Open Problems

### (1) *Irreducibility of VMRTs*

Conjecture:  $X$  uniruled, projective

$\mathcal{K}$  minimal rational component,  $p(X, \mathcal{K}) > 0$ .

Then,  $\mathcal{C}_x$  is *irreducible* for generic in  $X$ .

Special case:

If  $\mathcal{C}_x$  is a union of projective linear subspaces and  $p(X, \mathcal{K}) > 0$ , then  $\mathcal{C}_x$  is irreducible.

Consequence of special case

$f : X' \rightarrow X$  a generically finite map onto a Fano manifold  $X$  of Picard number 1,  $X \not\cong \mathbb{P}^n$ . Then  $f$  is locally rigid when  $X'$  is fixed and  $X$  is allowed to vary.

### (2) *Contact Fano manifolds*

Conjecture:  $X$  Fano,  $\text{Pic}(X) \cong \mathbb{Z}$ , equipped with a contact structure

$\Rightarrow X$  rational homogeneous.

### (3) *Finite holomorphic maps*

Conjecture:  $X, Y$   $n$ -dim. Fano manifolds of Picard number 1,  $X, Y \not\cong \mathbb{P}^n$ .  $f : X \rightarrow Y$  finite

holomorphic map. Then,  $\deg(f) \leq \text{Const}(X, Y)$ .

### Consequence

$X \not\cong \mathbb{P}^n$ ,  $\text{Pic}(X) \cong \mathbb{Z} \Rightarrow \text{End}(X) = \text{Aut}(X)$

### (4) *Vector Fields*

Conjecture:  $X$  Fano,  $\text{Pic}(X) \cong \mathbb{Z}$ . Then,

(a) At a general point  $\nexists$  holomorphic vector fields vanishing to the order  $\geq 3$ .

(b)  $\dim(\text{Aut}(X)) < n^2 + 2n$  unless  $X \cong \mathbb{P}^n$ .

### (5) *Moduli space of minimal rational curves*

$X$  Fano manifold of Picard number 1,  $\mathcal{K}$  a minimal rational component,  $x \in X$  general point,  $\rho : \mathcal{U} \rightarrow \mathcal{K}$  universal family,  $\mathcal{C}_x = \text{VMRT}$  at  $x$ .

### Conjecture (on pseudoconcavity):

Suppose every  $\mathcal{K}$ -curve through  $x$  is standard and embedded. Write  $\mathcal{Q}_x := \rho(\mathcal{C}_x) \subset \mathcal{K}$ . Then, every meromorphic function defined on some open neighborhood  $\mathbb{U} \supset \mathcal{Q}_x$  extends meromorphically to  $\mathcal{K}$ .