SU(1,2) Higgs bundle, Spectral Data and Limiting Configuration

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Definition

A **Higgs bundle** is a pair (\mathcal{E}, Φ) of holomorphic objects: \mathcal{E} is a holomorphic vector bundle on X; Φ a holomorphic 1-form valued in endomorphisms: $\Phi \in H^0(X, \text{End}(\mathcal{E}) \otimes K_X)$. K_X is canonical line bundle of X, i.e. the holomorphic cotangent bundle.

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 (\mathcal{E}, Φ) is **(semi)stable** if for each proper Φ -invariant subbundle $\mathcal{E}' \subset \mathcal{E}$ we have

$$\mu(\mathcal{E}') = \frac{\mathsf{deg}\mathcal{E}'}{\mathsf{rank}\mathcal{E}'} < (\leq)\mu(\mathcal{E}) = \frac{\mathsf{deg}\mathcal{E}}{\mathsf{rank}\mathcal{E}}$$

where deg $\mathcal{E} = deg det \mathcal{E}$.

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 (\mathcal{E}, Φ) is **polystable** if $(\mathcal{E}, \Phi) = \bigoplus_i (\mathcal{E}_i, \Phi_i)$, where (\mathcal{E}_i, Φ_i) stable with same slopes.

 Topologically smooth complex vector bundles *E* over compact Riemann surface is classified by **rank** *r* **and degree** *d*, where degree is defined by deg Λ^rE = deg det E, or by Chern-Weil theory with choice of a connection ∇ with curvature F_∇,

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 Mumford invented geometric invariant theory (GIT) in 60s and studied moduli of vector bundles N_{r,d} of rank r and degree d over compact Riemann surfaces and introduced notion of (slope) stability

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- Narasimhan & Seshadri ('65) identified $N_{r,d}$ with the character variety Hom $(\hat{\pi}_1, U(n)) \not | U(n)$ of irreducible projective unitary representation of fundamental group
- Donaldson ('82) further identified this with the moduli space of projectively flat irred. unitary conn. on underlying bundle *E*. Later generalized by Uhlenbeck-Yau ('86) characterizing stability over compact Kähler manifold (*X*, ω) with existence of Hermitian-Yang-Mills connection

$$\sqrt{-1}F_{\nabla}\wedge\omega^{n-1}=\mu\mathrm{Id}_{E}\omega^{n}$$

• Hitchin ('87) studied a dimension reduction of self-dual Yang-Mills equation $F_A = *F_A$ from d = 4 to d = 2, which retains conformal symmetry and is naturally defined on Riemann surfaces, leading to Hitchin equation. The moduli space \mathcal{M} of its solutions has very rich geometry and contains $T^*N_{2,d}$ as open dense subset.

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- Hitchin showed that the moduli space M of solutions is equivalent to that of the pair (δ, Φ) with above stability condition, along the same line as Donaldson-Uhlenbeck-Yau. A wide range of similar results are now referred to collectively as Hitchin-Kobayashi correspondence.

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- Hitchin showed that the moduli space M of solutions is equivalent to that of the pair (ε, Φ) with above stability condition, along the same line as Donaldson-Uhlenbeck-Yau. A wide range of similar results are now referred to collectively as Hitchin-Kobayashi correspondence.
- The name 'Higgs bundle': Gauge fields A₃, A₄ in the direction of reduction gives a field similar to that of Higgs boson in the standard model of particle physics, which he calls Higgs field.

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 Fix hermitian metric h₀ on E underlying complex vector bundle. Hitchin equation is an equation of the pair (A, Φ):

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In this talk we will adopt the second. The tuple $(E, \overline{\partial}_E, \Phi, h)$ is called harmonic bundle.

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Definition

 $G^{\mathbb{C}}$ complex reductive Lie group. A $G^{\mathbb{C}}$ -Higgs bundle is a pair (P, Φ) , P a holomorphic principal $G^{\mathbb{C}}$ -bundle and Φ a holomorphic 1-form valued in the adjoint bundle, $\Phi \in H^0(X, P \times_{\mathsf{Ad}} \mathfrak{g}^{\mathbb{C}} \otimes K)$.

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Examples $G^{\mathbb{C}} = SL(n, \mathbb{C}) \rightsquigarrow (\mathcal{E}, \Phi)$ with rank $\mathcal{E} = n$ with a trivialization det $\mathcal{E} \xrightarrow{\sim} O_X$ and tr $\Phi = 0$; $G^{\mathbb{C}} = Sp(2n, \mathbb{C}) \rightsquigarrow (\mathcal{E}, \Phi)$ with *E* of rank 2n with a holomorphic simplectic form Ω and Φ satisfied $\Omega(\Phi v, w) = -\Omega(v, \Phi w)$.

Theorem (Hitchin '87, Simpson '88)

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Introduction: Higgs bundle and Hitchin equation



Definition

The Hitchin map for $G^{\mathbb{C}}$ -Higgs bundle is given by

$$\mathsf{Hit}: \mathcal{M}_{G^{\mathbb{C}}} \to \mathcal{B}_{G^{\mathbb{C}}} = \bigoplus_{i=1}^{k} H^{0}(X, K^{\otimes d_{i}})$$
$$(P, \Phi) \mapsto (p_{1}(\Phi), \dots, p_{k}(\Phi))$$

where $\{p_1, \ldots, p_r\}$ is a basis of $G^{\mathbb{C}}$ -invariant polynomials on $g^{\mathbb{C}}$ and $d_j = \deg p_j$. For $G^{\mathbb{C}} = SL(n, \mathbb{C})$, Hit simply takes coefficients of characteristic polynomial.

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Hit is a proper and surjective map and as remarked by Hitchin (1987) 'Somewhat miraculously' dim $\mathcal{B}_{G^{\mathbb{C}}} = \dim \mathcal{M}_{G^{\mathbb{C}}}/2$, Hit makes $\mathcal{M}_{G^{\mathbb{C}}}$ into an integrable system – **Hitchin system**. Almost all integrable systems in classical mechanics may be realized as special cases.

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Let π : Tot(K) $\to X$ be the canonical line bundle and $p \in \mathcal{B}$ a polynomial. $\lambda \in \pi^* K$ the tautological section. The spectral curve $\pi : \Sigma_p \to X$ given by the zero locus of $p(\lambda)$.

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In other words, for a rank *r* Higgs bundle $(E, \Phi) \mapsto p$ under Hitchin map, Σ_p marks the eigenvalues of Higgs field Φ . $\pi : \Sigma_p \to X$ is an *r*-sheeted branched covering.

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Consider $SL(2, \mathbb{C})$ Higgs bundle with Hit: $(\mathcal{E}, \Phi) \mapsto q = \det \Phi$ simple zeros



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Theorem (Beauville-Narasimhan-Ramanan '89)

For *p* with Σ_p an integral (i.e. reduced and irreducible) curve, there is a natural equivalence between

- Pairs (\mathcal{E}, Φ) with char $(\Phi) = p$, and
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In particular the data is given by the 'eigen-line-subbundle'

$$0 \longrightarrow L(-\Delta) \longrightarrow \pi^* E \xrightarrow{\pi^* \Phi - \lambda} \pi^* (E \otimes K_X)$$

Conversely we recover (\mathcal{E}, Φ) from (L, λ) by applying direct image functor / pushforward π_* . For $G = SL(n, \mathbb{C})$ when Σ_p is smooth curve, the Hitchin fiber can be identified with $Prym(\Sigma_p, X)$, an abelian variety.

Introduction: G-Higgs bundle

Non-abelian Hodge correspondence opens door to study the character varieties Hom⁺(π, G) // G by Higgs bundles. The work of Hitchin (1992) for G =SL(n, ℝ) motivated the notion of G-Higgs bundle for G real form of complex Lie group G^C.

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- $\mathfrak{g}^{\mathbb{C}}$ Lie algebra of reductive Lie group $G^{\mathbb{C}}$. Recall we have

 $\left\{\begin{array}{c} \text{real form } g\\ \text{of } g^{\mathbb{C}} \end{array}\right\} \xrightarrow{1-1} \left\{\begin{array}{c} \text{conjugacy cls. of}\\ \text{antihol'c involution} \end{array}\right\} \xrightarrow{1-1} \left\{\begin{array}{c} \text{conj. cls. of}\\ \text{hol'c involutions } \theta \end{array}\right\}$

 θ is the Cartan involution of the real form and its eigenspace decomposition is Cartan decomposition. Let g (resp. *G*) a non-compact real form with Cartan decomposition $g = \mathfrak{h} \oplus \mathfrak{m}$ (Killing form negative definite on \mathfrak{h}). *H*: maximal compact subgroup corresp to \mathfrak{h} .

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• $[\mathfrak{h},\mathfrak{m}] \subseteq \mathfrak{m}$, restriction of adjoint rep'n gives isotropy representation $\iota: H^{\mathbb{C}} \to GL(\mathfrak{m}^{\mathbb{C}})$

Definition (Bradlow, García-Prada & Gothen 2006)

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• The Hitchin map is given by $\mathcal{M} \to \mathcal{B} = \bigoplus_{i=1}^{a} H^{0}(X, K^{m_{i}})$ by evaluating Higgs field at a basis of the ring of polynomial $H^{\mathbb{C}}$ -invariants. m_{i} are exponents of G and a is real rank.
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- As a first step in extension of work of Mazzeo-Swoboda-Weiss-Witt (2014) to G-Higgs bundle, we will consider G with real rank one, e.g. SU(1, n), SO(1, n). We will consider the simplest of these, the Lie group G =SU(1,2).

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•
$$H = S(U(1) \times U(2)), H^{\mathbb{C}} = S(GL(1) \times GL(2)) \text{ and } \mathfrak{m}^{\mathbb{C}} \text{ consists of}$$

matrices $\begin{pmatrix} 0 & x_1 & x_2 \\ x_3 & 0 & 0 \\ x_4 & 0 & 0 \end{pmatrix}, x_j \in \mathbb{C}.$

An SU(1,2) Higgs bundle is a rank three Higgs bundle

$$\begin{pmatrix} L \oplus F, \Phi = \begin{pmatrix} 0 & \gamma \\ \beta & 0 \end{pmatrix} \end{pmatrix}$$

rank*L* = 1, rank*F* = 2, *L* = det F^* , $\beta : L \to F \otimes K$, $\gamma : F \to LK$. Alternatively the data is contained in the triple of holomorphic objects (F, β, γ) :

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The Hitchin equation for hermitian metric h on F,

$$R(h) + \beta \wedge \beta_h^{\dagger} + \gamma_h^{\dagger} \wedge \gamma = 0$$

By 'decoupled equation' we mean:

$$R(h) = 0, \ \beta \wedge \beta_h^{\dagger} + \gamma_h^{\dagger} \wedge \gamma = 0$$

G-Higgs bundles (principal- $H^{\mathbb{C}}$ bundles) over *X* are topologically classified by a characteristic class in $\pi_1(H^{\mathbb{C}}) = \pi_1(H) = \pi_1(G)$. In case of G = U(p,q), SU(p,q) this is given by the Toledo invariant, which is constant on connected components of the moduli space. For SU(1,2) Higgs bundle the Toledo invariant is 2 deg L = -2 deg F.

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By direct generalization of the result of $G = SL(2,\mathbb{R})$ the Toledo invariant satisfies a Milnor-Wood type inequality (Domic & Toledo '87):

$$|2d| \leq \operatorname{rank}(G/H)(g-1) = 2(g-1)$$

In fact by work of Bradlow, Garcia-Prada & Gothen '03, the stability and polystability of SU(p,q) Higgs bundle agrees with that of underlying SL(p + q, \mathbb{C})-Higgs bundle, therefore give further information of β , γ we may enhance the Toledo inequality for SU(1,2) Higgs bundle!

The data of (F,β,γ) in \mathcal{B} is determined by $q = \gamma \circ \beta$ which we assume has **simple zeros** $D = \{p_1, \dots, p_{4g-4}\}$. For each $p \in D$,

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and polystable iff either stable or $F \cong LK^{-1}(D_{\beta}) \oplus LK(-D_{\gamma})$.

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We will also call partition <u>D</u> (semi)stable.

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SU(1,2) Higgs bundle

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Given a stable Higgs bundle (\mathcal{E}, Φ) , the pair $(\mathcal{E}, t\Phi)$ for $t \in \mathbb{C}^{\times}$ is also stable \rightsquigarrow a \mathbb{C}^{\times} -action on $\mathcal{M}_{\text{Higgs}}$. For |t| = 1, this gives a S^1 -action whose fixed points are the critical points of the Morse function $(A, \Phi) \mapsto ||\Phi||_{L^2}^2$ and was used by Hitchin '87 to study topology of $\mathcal{M}_{\text{Higgs}}$. Given a stable Higgs bundle (\mathcal{E}, Φ) , the pair $(\mathcal{E}, t\Phi)$ for $t \in \mathbb{C}^{\times}$ is also stable \rightsquigarrow a \mathbb{C}^{\times} -action on $\mathcal{M}_{\text{Higgs}}$. For |t| = 1, this gives a S^1 -action whose fixed points are the critical points of the Morse function $(A, \Phi) \mapsto ||\Phi||_{L^2}^2$ and was used by Hitchin '87 to study topology of $\mathcal{M}_{\text{Higgs}}$.

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Goal

Given family of stable SU(1,2) Higgs bundles $(F, t\beta, t\gamma)$, study behavior of unique solution h_t , a hermian metric on the fixed rank two bundle F as $t \to \infty$.

Theorem (Mazzeo-Swoboda-Weiss-Witt '14)

 $(\mathcal{E}, t\Phi)$ family of SL(2, \mathbb{C}) Higgs bundle, $q = \det \Phi$ has simple zeros D. We have uniform convergence

 $h_t \rightarrow h_\infty$ as $t \rightarrow \infty$

where h_{∞} solves decoupled equation

$$R(h) = 0, \ [\Phi \wedge \Phi_h^{\dagger}] = 0$$

Conversely any solution to decoupled equation arise as such a limit.

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In particular, the moduli of limiting configuration is a torus of $\dim_{\mathbb{R}} = 6g - 6$.

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 To study the space of limiting config for SU(1,2) Higgs bundle, it is necessary to get a better description of the Hitchin fiber / spectral data.

Xuesen Na (UMD)

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Given stable SU(1,2) Higgs bundle (F,β,γ)

Idea: transform data in $LK^{-1} \xrightarrow{\beta} F \xrightarrow{\gamma} LK$, to get *F* as a Hecke modification of fixed bundle $V = L^{-2}K \oplus LK$.

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For this, we may first dualize to get $F^* \xrightarrow{\beta^t} L^{-1}K$ then use the canonical map $\phi_F : F^* \otimes \Lambda^2 F \to F$, which is an isom for rank two bundle *F*, to get composition

$$\iota_1: F \xrightarrow{\phi_F^{-1}} F^* \otimes \Lambda^2 F = F^* \otimes L^{-1} \xrightarrow{\beta^t \otimes 1} L^{-1} K \otimes L^{-1} = L^{-2} K$$

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and let $\iota_2 = \gamma : F \to LK$. This gives an SES of coherent sheaves on X:

$$0 \to F \xrightarrow{\iota = \iota_1 \oplus \iota_2} V \xrightarrow{\pi} O_D \to 0$$

with O_D skyscraper sheaf of length 1 at $p \in D$.

It turns out that the above idea can be used to construct a family of SU(1,2) Higgs bundles parametrized by a fiber bundle over the Jacobian variety with **local universal property**.

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Fix |d| < g - 1 and let \mathscr{L} be a Poincaré line bundle over $X \times \operatorname{Pic}^{d} X$ and $\mathscr{V} = \mathscr{L}^{-2} \operatorname{pr}_{X}^{*} K \oplus \mathscr{L} \operatorname{pr}_{X}^{*} K$ and let $\iota_{X} : \operatorname{Pic}^{d} X \to X \times \operatorname{Pic}^{d} X$ be $\ell \mapsto (x, \ell)$, the fiber bundle is given by

$$\mathcal{P} = \mathcal{P}_1 \underset{\operatorname{Pic}^d X}{\times} \ldots \underset{\operatorname{Pic}^d X}{\times} \mathcal{P}_{4g-4}, \text{ where } \mathcal{P}_j = \mathbb{P}(\iota_{X_j}^* \mathcal{V}^*).$$

with fiber $\cong (\mathbb{P}^1)^{4g-4}$. Since \mathcal{V} is decomposable, there is a well-defined \mathbb{C}^{\times} -action given fiber-wise by $[x_0 : x_1] \mapsto [cx_0 : x_1]$, and

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Theorem (N '21)

For |d| < g - 1, $q \in H^0(X, K_X^2)$ with simple zeros $D = x_1 + \ldots + x_{4g-4}, \mathcal{P}$ and \mathbb{C}^{\times} -action as above, there is an appropriate linearization using an ample line bundle \mathscr{L}' over \mathcal{P} such that

$$\operatorname{Hit}_{d}^{-1}(q) \cong \mathcal{P} /\!\!/ \mathbb{C}^{\times}$$

Xuesen Na (UMD)

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- $\ell_j = [0:1]$ iff $\iota: F \to V = L^{-2}K \oplus LK$ at p_j lands in second summand, iff $\beta(p_j) = 0$
- $\ell_j = [1:0]$ iff $\iota: F \to V = L^{-2}K \oplus LK$ at p_j lands in first summand, iff $\gamma(p_j) = 0$
- $\ell_j \neq [0:1], [1:0]$ iff $\iota: F \rightarrow V = L^{-2}K \oplus LK$ at p_j does not land in either axes, iff $\beta(p_j), \gamma(p_j) \neq 0$.

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Let $n_1(\underline{\ell})$ (resp. $n_2(\underline{\ell})$) be the number of [0:1] (resp. [1:0]) in its components, the stable locus in Hit⁻¹(q) is then given by the \mathbb{C}^{\times} quotient of

$$\mathcal{Y} = \left\{ \underline{\ell} = (\ell_1, \dots, \ell_{4g-4}) \in \mathcal{P} \middle| \begin{array}{l} \ell_j \in \mathcal{P}_j, \\ n_1(\underline{\ell}) < 2(g-1+d), \\ n_2(\underline{\ell}) < 2(g-1-d), \end{array} \right\}$$

The partition of *D* into three cases (zero of β ; zero of γ ; neither) then gives a stratification

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Each stratum is of the form $(\mathbb{C}^{\times})^{d_r}$:

$$\mathcal{Y}_{\underline{D}}\big|_{L} := \mathcal{F}_{L,D_{r}} \cong \prod_{x \in D_{r}} \left(L^{3} \big|_{x} \right)^{\times} = \left\{ \underline{b} = (b_{x}) \big| b_{x} \in L^{3} \big|_{x} - \{0\}, x \in D_{r} \right\}.$$

In the following we fix coord nbhd $(D_j; \zeta_j)$ with $p_j \in D_r$ and $q = \zeta_j (\zeta_j)^2$.

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Corollary

The stable Higgs bundle (F, β, γ) correspond to $\underline{b} \in \mathcal{F}_{L,D_r}$ iff there are compatible hol'c frames $s_{0,j}$ of $L = \det F^*$ and $\{s_{1,j}, s_{2,j}\}$ of F over D_j with

•
$$s_{0,j}^{\otimes 3} = b_{p_j}$$
 for $p_j \in D_r$

• under such frames
$$eta = rac{1}{\sqrt{2}} inom{1}{\zeta_j} d\zeta_j$$
 and $\gamma = rac{1}{\sqrt{2}} inom{\zeta_j}{\zeta_j} - 1 inom{d\zeta_j}{\zeta_j}$.

Hecke transformation representation

The tuple <u>b</u> specifies the subsheaf by matching the two summands in $V = L^{-2}K \oplus LK$ over D_r , will be called **fiber-matching parameters**. These also give (up to equivalence) holomorphic frames in which Higgs field has standard form

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Recall notion of **filtered bundle**. Let $O_X(*D)$ be sheaf of meromorphic functions with possibly poles at *D* and \mathcal{E} a locally free $O_X(*D)$ module of finite rank. A filtered bundle structure $\mathcal{P}_*\mathcal{E}$ on \mathcal{E} is a family of coherent O_X -submod of \mathcal{E} labelled by tuple of real numbers <u>a</u>,

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• Stalk $\mathcal{P}_{a_P}\mathcal{E}_P$ of $\mathcal{P}_a\mathcal{E}$ at $p \in D$ depends only on $a_P \in \mathbb{R}$.

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- $\mathcal{P}_a \mathcal{E}_P \subset \mathcal{P}_b \mathcal{E}_P$ iff $a \leq b$ and there is $\epsilon > 0$ such that $\mathcal{P}_a \mathcal{E}_P = \mathcal{P}_{a+\epsilon} \mathcal{E}_P$.

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• For
$$n \in \mathbb{Z}$$
, $\mathcal{P}_a \mathcal{E}_P \otimes_{\mathcal{O}_{X,P}} \mathcal{O}_X(nP)_P = \mathcal{P}_{a+n} \mathcal{E}_P$.

A hermitian metric *h* is **adapted** to $\mathcal{P}_*\mathcal{E}$ if

$$s \in \mathcal{P}_{\underline{a}} \mathcal{E} \Leftrightarrow |s|_{h} = O(|z_{P}|^{-a_{P}-\epsilon}) \ \forall \epsilon > 0$$

where (U, z_P) centered at $P \in D$, *s* a holc. section of \mathcal{E} . Below essentially follow from Hodge theory (\bullet later we will see an explicit construction) :

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Fact

 \mathcal{L} a line bundle. For deg $\mathcal{L} + \sum_j \lambda_j = 0$, \exists herm. metric *h* on *X* – *D* with R(h) = 0 and for any $s_j(p_j) \neq 0$

$$\log |s_j|_h = \lambda_j \log |\zeta_j| + O(1)$$

h is unique up to positive const, called the **harmonic metric** adapted to **parabolic line bundle** $(\mathcal{L}, \underline{\lambda})$.

Decoupled equation

Decoupled solution: a solution to decoupled equation with logarithmic Chern conn.: $\partial_h : F \to F \otimes \Omega_X^{(1,0)}(\log D)$.

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Lemma

Any decoupled solution have the form

$$h_{\infty} = \iota^* \left(h_L^{-2} h_K \oplus h_L h_K \right)$$

where $\iota : F \to L^{-2}K \oplus LK$ the Hecke transf. as above, $|q|_{h_K} \equiv 1$ and h_L is a harmonic metric adapted to $(L, \underline{\lambda})$.

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Let \underline{D} be stable. It will be useful to define a tuple $\underline{\lambda}$ to be **admissible** if

•
$$\lambda_j = 1/4$$
 for $p_j \in D_\beta$

•
$$\lambda_j = -1/4$$
 for $p_j \in D_{\gamma}$

• $-1/4 < \lambda_j < 1/4$ for $p_j \in D_r$.

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Limiting configuration of SU(1,2) Hitchin equation

Let (F, β, γ) be stable SU(1,2) Higgs bundle and $\iota : F \to V = L^{-2}K \oplus LK$ the corresp Hecke transf. Let $S_a = \text{diag}(a^{-2}, a)$ endom. on V hence F outside D. Fix a base point $p_0 \in X - D$ and $v_0 \in L|_{p_0}$.

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Theorem 2 (N '21)

On any compact subset of X - D we have uniform convergence

$$S^*_{|v_0|_{\det h_t^{-1}}} h_t \to h_\infty$$
 as $t \to \infty$

where $h_{\infty} = \iota^* (h_L^{-2} h_K \oplus h_L h_K)$, h_K satisfy $|q|_{h_K} \equiv 1$ and h_L is a harmonic metric adapted to (L, λ_{∞}) with λ_{∞} admissible and

$$\lambda_{\infty,j} = -\frac{1}{d_r} \left(\deg L + \frac{1}{4} \left(d_\beta - d_\gamma \right) \right), \ \forall \ p_j \in D_r$$

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The parabolic weight characterizing limiting configurations are in the barycenter of the simplex corresponding to \underline{D} .

Xuesen Na (UMD)

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soln h_t^{app}

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For $t \gg 1$, there is *t*-compatible family $\underline{\lambda}(t) \rightarrow \underline{\lambda_{\infty}}$, giving approx. soln h_t^{app}

Step 3 Use small perturbation to find solution h_t nearby

Local model solution



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A filtered Higgs bundle is a pair $(\mathcal{P}_*\mathcal{E}, \theta : \mathcal{E} \to \mathcal{E} \otimes \Omega^1_X)$. It is called **unramifiedly** good if there is a finite collection of germs of meromorphic functions at P, $I(P) \subset O_X(*D)_P$ and a decomposition $\mathcal{P}_a\mathcal{E}_P = \bigoplus_{f \in J(P)} \mathcal{P}_a\mathcal{E}_{P,f}$ such that

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$$(\theta - (df) \mathrm{Id}) \mathcal{P}_a \mathcal{E}_{P,f} \subset \mathcal{P}_a \mathcal{E}_{P,f} \otimes \Omega^1_X (\log D)_P$$

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Degree of a filtered bundle is defined by

$$\deg \mathcal{P}_* \mathcal{E} = \deg \mathcal{P}_{\underline{c}} \mathcal{E} - \sum_{P \in D} \sum_{c_P - 1 < a \le c_P} a \dim_{\mathbb{C}} \left(\mathcal{P}_a \mathcal{E}_P / \mathcal{P}_{< a} \mathcal{E}_P \right)$$

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Stability of good filtered Higgs bundle is defined similarly to that of Higgs bundle with this notion of degree.

Theorem (Biqard-Boalch '04)

A stable unramifiedly good filtered Higgs bundle $(\mathcal{P}_*\mathcal{E}, \theta)$ with deg $\mathcal{P}_*\mathcal{E} = 0$ admits a harmonic metric adapted to it. This metric is unique up to mult. by positive constant.

Admissibility and local model stability



Xuesen Na (UMD)

CIM 2021 33/52

Admissibility and local model stability



CIM 2021 34/52

Admissibility and local model stability

$$\deg \mathcal{P}_*\mathcal{E} = 0 \Leftrightarrow c_1 + c_2 + c_3 = 3$$



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The weights $\underline{c} = (c_1, c_2, c_3)$ for which unramifiedly good filtered Higgs bundle $(\mathcal{P}^{\underline{c}}_*\mathcal{E}, \tilde{\theta})$ on \mathbb{P}^1 with at ∞ is stable with deg $\mathcal{P}^{\underline{c}}_*\mathcal{E} = 0$ lies in a regular hexagon.

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For $c_1 = c_2$ the harmonic metric respects $H^{\mathbb{C}}$ -symmetry of the vector bundle. Then $1/2 < c_1 < 3/2$. Normalize by det $\tilde{h}_t^{\underline{c}} \equiv 1$. To get $\log \det \tilde{h}_t^{\underline{c}} = -2\lambda \log |\zeta| + O(1)$ at ∞ we have

$$\underline{c} = (1 + 2\lambda, 1 + 2\lambda, 1 - 4\lambda)$$
 with $\lambda \in (-1/4, 1/4)$

where

$$-\frac{1}{4} < \lambda < \frac{1}{4}$$

Standard form of Higgs field

$$\tilde{\theta} = \sqrt{2}z \begin{pmatrix} z^2 & 1 \\ 1 & z^2 \end{pmatrix} dz$$

have some symmetries, by uniqueness of \tilde{h}_t^c we get corresp symmetries of local model. First note it descends to $h_{t,\lambda}$ down the branched covering, denote its local form by matix $H_{t,\lambda}$.

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as well as scaling law

$$H_{1,\lambda}(t^{2/3}\zeta) = \Gamma_t^{\dagger} H_{t,\lambda}(\zeta) \Gamma_t, \ \Gamma_t = \begin{pmatrix} t^{1/3} & \\ & t^{-1/3} \end{pmatrix}$$

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in particular we have scalar radial functions $h_{1,\lambda}$, $h_{2,\lambda} \in \mathbb{R}$, and $h_{3,\lambda} \in \mathbb{C}$:

$$H_{t,\lambda}(\rho e^{i\theta}) = \begin{pmatrix} \rho h_{1,\lambda}(t^{2/3}\rho) & h_{3,\lambda}(t^{2/3}\rho)e^{-i\theta} \\ \overline{h_{3,\lambda}(t^{2/3}\rho)}e^{i\theta} & \frac{1}{\rho}h_{2,\lambda}(t^{2/3}\rho) \end{pmatrix}$$

Local model explicit form

Note in particular if $\lambda = 0$ the Hitchin equation reduces to an ODE of a single radial function $f = h_1$:

$$\left(
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ight)^2\log f=2
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With $f(\rho) = \exp\left(2\psi\left(4\rho^{3/2}/3\right)\right)$, ψ satisfies a Painlevé III equation $\psi'' + \frac{1}{x}\psi' - \frac{1}{2}\sinh(2\psi) = 0$. The unique solution in this case is characterized by $\psi(x) \sim x^{1/2}e^{-x}$ as $x \to \infty$. For points in D_{β} , D_{γ} we use local frame in which Higgs field and local model has the following form with log det $H_t = -2\lambda \log |\zeta| + O(1)$

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$$\Phi = \begin{pmatrix} 1 & 0 \\ \zeta & 0 \\ 0 & - \end{pmatrix} d\zeta, \quad H_t = \begin{pmatrix} \frac{1}{c\sqrt{|\zeta|}} e^{-\psi\left(\frac{8}{3}t|\zeta|^{3/2}\right)} & c^2 \end{pmatrix}, \quad \lambda = 1/4 \text{ for } p \in D_\beta$$
$$\Phi = \begin{pmatrix} \zeta & 0 \\ 1 & 0 \\ 0 & - - - 1/4 \text{ for } p \in D_\gamma$$

Asymptotics of local model

Let <u>b</u> corresp to (F,β,γ) stable. Let frames $\{s_{1,j}, s_{2,j}\}$ as in Thm 1 near $p_j \in D_r$. The corresp section $s_{0,j} = (s_{1,j} \land s_{2,j})^{-1}$ gives rise to a frame $\sigma_{1,j} = (s_{0,j}^{-2}d\zeta_j, 0)$, $\sigma_{2,j} = (0, s_{0,j}d\zeta_j)$ of $V = L^{-2}K \oplus LK$. Let $(h_{t,\lambda})_{\underline{\sigma}} = t^{2/3}M_{\lambda}(t^{2/3}\rho)$, its entries are radial functions
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Theorem (Mochizuki '16)

Let $(E, \overline{\partial}_E, \theta, h) = \bigoplus_{j=1}^{N} (L_j, \overline{\partial}_{L_j}, f_j dz)$ decomp. of harmonic bundle on disk $D = \{|z| < R\}$ into line bundles with $|f_j| < M$. Let $h_j = h|_{L_j}$. Then for any 0 < r < R we have C, c > 0 depending on rkE, M, R, r such that on $\{|z| < r\}$:

$$\begin{aligned} \left| R(h) \right|_{h}, \left| R(h_{j}) \right|_{h_{j}} &\leq C e^{-cd}, \\ h(s_{i}, s_{j}) &\leq C e^{-cd} \left| s_{i} \right|_{h} \left| s_{j} \right|_{h} & \text{for } i \neq j \end{aligned}$$

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i.e. over locus where Higgs field is semisimple, harmonic metric becomes asymptotically flat and orthogonal, at rate exponential in distance between eigenvalues.

Using this we see there is $\rho_0 > 1$ such that for all $\lambda \in I \subset (-1/4, 1/4)$ there is *C*, c > 0 such that

$$\left|\partial_{\rho}^{\ell}\left(\textit{\textit{M}}_{\lambda}-\textit{\textit{M}}_{\infty,\lambda}
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for $\ell = 0, 1, 2$ and

$$M_{\infty,\lambda} = \begin{pmatrix} \rho^{-1} \mu_{\lambda}(\rho)^2 & \\ & \rho^{-1} \mu_{\lambda}(\rho)^{-1} \end{pmatrix}, \ \mu_{\lambda}(\rho) = \frac{c(\lambda)}{4} \rho^{-2\lambda}$$

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Definition

Admissible weight $\underline{\lambda}$ and fiber-matching param. \underline{b} are *t*-compatible if there is $h_L = h_{L,\underline{\lambda},t}$ adapted to $(L,\underline{\lambda})$ such that $(h_L^{-2}h_K \oplus h_L h_K)_{\underline{\sigma}} = t^{2/3}M_{\infty,\lambda_j}(t^{2/3}\rho)$

Equivalently for $s_{0,j}$ any section with $s_{0,j}^{\otimes 3} = b_{\rho_j}$ we have

$$\log \left| s_{0,j} \right|_{h_{L,\underline{\lambda},t}}^2 = \log \frac{c(\lambda_j)}{4} + \frac{4}{3} \left(\log t \right) \lambda_j + 2\lambda_j \log |\zeta_j| + O(1)$$

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Construct harmonic metric on parabolic line bundle

For simplicity focus on case $d_{\beta} = d_{\gamma} = 0$, deg L = 0 ($\mathscr{P}_{\underline{D}}$ is top dim. simplex, barycenter at origin). We can construct a harmonic metric $h_{\underline{L},\underline{\lambda}}^0$ adapted to $(L,\underline{\lambda})$ from Hermitian-Einstein metric $h_{L,HE}$ satisfying $\sqrt{-1}\Lambda R(h_{L,HE}) = \deg L$:

$$h_{L,\underline{\lambda}}^{0} = h_{L,\mathsf{HE}} e^{\varphi_{\underline{\lambda}}}, \; \varphi_{\underline{\lambda}} = \sum_{j=1}^{4g-4} \left(\sum_{\ell=1}^{j} \lambda_{\ell}
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where G_j are bipolar Green's function for j = 1, ..., N - 1 satisfying

$$\Delta_{\partial} G_{j} = \begin{cases} 0 & \text{on } X - \{p_{j}, p_{j+1}\}, \ j = 1, \dots, 4g - 5\\ 1 & \text{on } X - \{p_{4g-4}\}, \ j = 4g - 4 \end{cases}, \ \begin{cases} G_{j} - 2\log|\zeta_{j}| \text{ bdd at } p_{j}\\ G_{j} + 2\log|\zeta_{j+1}| \text{ bdd at } p_{j}\\ G_{4g-4} - 2\log|\zeta_{4g-4}| \text{ bdd at } p_{4g-4} \end{cases}$$

Let $g_{i\ell} = G_i - \log \text{ terms on } D_\ell$.

By uniqueness up to positive const mult. we have $h_{L,\underline{\lambda},t} = h_{L,\lambda}^0 e^{c_{\underline{\lambda},t}}$ and

$$\log \left| s_{0,j} \right|_{h_{L,\underline{\lambda},t}}^2 = c_{\underline{\lambda},t} + \log \left| s_{0,j}(0) \right|_{h_{L,\mathsf{HE}}}^2 + \sum_{\ell=1}^{4g-4} \left(\sum_{k=1}^{\ell} \lambda_k \right) g_{\ell j} + 2\lambda_j \log |\zeta_j|$$

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Comparing the two expressions of $\log |s_{0,j}|^2_{h_{L,\underline{\lambda},t}}$ with log terms removed, *t*-compatibility is equivalent to:

$$\begin{aligned} c_{\underline{\lambda},t} &= \log \frac{c(\lambda_j)}{4} + \frac{4}{3} \left(\log t\right) \lambda_j \\ &- \log \left| \mathbf{s}_{0,j}(0) \right|_{h_{L,\mathsf{HE}}}^2 - \sum_{\ell=1}^{4g-4} \left(\sum_{k=1}^{\ell} \lambda_k \right) g_{\ell j} \end{aligned}$$

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for all *j* with $p_j \in D_r!$

Therefore $\underline{\lambda}$ and \underline{b} being *t*-compatible is equivalent to

$$\pi: \left(\frac{4}{3} \left(\log t\right) \lambda_j + r(\underline{\lambda})\right)_j \mapsto 0$$

where $\pi : \mathbb{R}^{4g-4} \to H = \left\{ \underline{\lambda} | \sum_{j} \lambda_{j} = 0 \right\}$ is the map $(v_{j})_{j} \mapsto (v_{j} - \sum_{\ell} v_{\ell})_{j}$ and

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For fixed \underline{b} , $\underline{\lambda}$ is *t*-compatible iff it is fixed by

$$\underline{\lambda} \mapsto -\frac{3}{4 \log t} \pi \circ r(\underline{\lambda})$$

 $\pi \circ r$ is continuous, so by Brouwer's fixed point theorem for $t \gg 1$ we can get a family of *t*-compatible weights $\underline{\lambda}(t)$

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and linearize it at $h = h_t^{app}$, giving $\mathcal{H}_{t,h}(u) = \mathcal{H}_{t,h}(0) + L_t u + R_t(u)$ with L_t a linear operator

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$$L_t(u) = \Delta_h u + t^2 \left\{ \sqrt{-1} \Lambda \left(\beta \wedge \beta_h^{\dagger} - \gamma_h^{\dagger} \wedge \gamma \right), \hat{u} \right\}$$

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with $\hat{u} = u + (tru) ld$ and $\{A, B\} = AB + BA$. We have

$$Q_{t}(u) = ((L_{t}u, u)) = \left\| d(tru) \right\|_{L^{2}}^{2} + 2 \left\| \bar{\partial}u \right\|_{L^{2}}^{2} + 2t^{2} \left\| \hat{u} \circ \beta \right\|_{L^{2}}^{2} + 2t^{2} \left\| \gamma \circ \hat{u} \right\|_{L^{2}}^{2}$$

where $((u, v)) = (u, \hat{v}) = (\hat{u}, v)$.

By estimating first eigenvalue of a related operator bounding Q_t from below, we show $L^2 \rightarrow L^2$ lower bound of L_t : there is C > 0, for $t \gg 1$ and $u \in L_2^2$,

$$||L_t u||_{L^2, h_t^{\mathrm{app}}}^2 \ge \frac{C}{\log t} ||u||_{L^2, h_t^{\mathrm{app}}}^2$$

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Note that we will need to fix the problem of *t*-dependeng norm in the estimate. Using asymptotics of local model proved by Mochizuki's theorem plus careful analysis of the form of the approximate solution, we can show various upper bounds for any $u \in L_2^2$ (Herm(F, h_t^{app})), $t_0 \gg 1$ and $t \ge t_0$:

$$\begin{split} & \frac{1}{Ct^{13}} \left| u \right|_{h_{t_0}^{\text{app}}} \le \left| u \right|_{h_t^{\text{app}}}^2 \le Ct^{13} \left| u \right|_{h_{t_0}^{\text{app}}} \text{ for some } C > 1 \\ & \left\| \Delta_{h_t^{\text{app}}} u - \Delta_{h_{t_0}^{\text{app}}} u \right\|_{L^2, h_{t_0}^{\text{app}}}^2 \le Ct^4 \left(\left\| d_{h_{t_0}^{\text{app}}} u \right\|_{L^2, h_{t_0}^{\text{app}}}^2 + \left\| u \right\|_{L^2, h_{t_0}^{\text{app}}}^2 \right) \\ & \left\| \left\{ \left(\beta \land \beta_{h_t^{\text{app}}}^{\dagger} - \gamma_{h_t^{\text{app}}}^{\dagger} \land \gamma \right), \hat{u} \right\} \right\|_{L^2, h_{t_0}^{\text{app}}} \le Ct^{11/3} \left\| u \right\|_{L^2, h_{t_0}^{\text{app}}} \end{split}$$

We may use elliptic estimate of $\Delta_{h^{\rm app}_{l_0}}$ combining with prev. estimates to get

 $\|L_t u\|_{L^2_2} \geq Ct^{-20} \|u\|_{L^2}$

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with some more effort we show for u_0 , $u_1 \in B(0, r)$ with $r \ll 1$

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The huge power of t is no cause of worry since we have

$$\left|\mathcal{H}_{t,h_t^{\mathsf{app}}}(0)\right| = \left| \mathsf{R}(h_t^{\mathsf{app}}) + t^2\beta \wedge \beta_{h_t^{\mathsf{app}}}^{\dagger} + t^2\gamma_{h_t^{\mathsf{app}}}^{\dagger} \wedge \gamma \right| \leq C e^{-ct^{2/3}}$$

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Consider on $L_2^2(\text{End}(F))$,

$$\mathcal{F}_t: u \mapsto -L_t^{-1} \left(2 \sqrt{-1} \Lambda e^{u/2} \mathcal{H}_{t,h_t^{\text{app}}}(0) e^{-u/2} + R_t(u) \right)$$

Iterated sequence $u, \mathcal{F}_t u, \mathcal{F}_t^2 u, \ldots$ converges to u_{∞} giving an actual solution $h_t = (h_t^{app})^{exp \, u_{\infty}}$ by contraction mapping argument. h_t and h_t^{app} is exponentially close in t.



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Thank you !

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ヘロ・トロ・ト キャー

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ヘロ・トロ・ト キャー

Given a reduced curve $C = C_0 \cup C_1$ with two irreducible non-singular components and simple nodes at $N \subset C$. Let $\iota_j : C_j \to C$, rank one locally free sheaf \mathcal{F} on C are characterized by line bundles $\mathcal{F}_j := \iota_j^* \mathcal{F}$ on C_j , j = 0, 1 and |N| parameters at each node $p \in N$. In nbhd around each $p \in N$, suppose $s \in \mathcal{F}$ and $s_j \in \mathcal{F}_j$ the pullback sections, this parameter is given by the ratio $[s_0(p) : s_1(p)]$. Let $\pi : C_0 \coprod C_1 \to C$ be the normalization. The connection to Hecke transformation viewpoint is given by canonical sheaf map $\mathcal{F} \to \pi_* \pi^* \mathcal{F} = \mathcal{F}_0 \oplus \mathcal{F}_1$. If we have a further map to some non-singular curve *X*, the latter will be a decomposable bundle.

More on SU(1,2) spectral data SU(1,2) Fiber matching



Note that the resulting Hecke transformation is of $L \oplus LK \oplus L^{-2}K$ where the fiber matching of rank 2 bundle $L \oplus LK$ with $L^{-2}K$ must occur only in second summand. This is because it is corresponding to O_X summand of $p_*O_{\Sigma_1}$, which are not vanishing at branch points.